

Snoring and Its Management (Part 2/2): Preliminary Design and Prototyping of Anti-Snoring Chin Strap Device

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Abstract

The-aim of this-research was to-design and prototype a-chin-strap-device, which can-be-used to-manage largely-untapped local-population of open-mouth-habitual-snorers. Document-analysis was utilized as one of the-study-instruments (including review of: (i) selected-International-patents on the-designs of chin-strap device; (ii) published-research on head-gear, head-products, and the-use of anthropometric-data in their-design; and (iii) prior-art on chin-strap-devices and their-respective-limitations). Target-specifications/ objectives of chin-strap-device were formulated from the-document-analysis, while Pair-wise-Comparison Charts were-used, to-rank the-importance of the-objectives, in the-different-levels. This-study also used such-basic-tools-as pencil and paper, for sketching, of the-alternative-designs; and a-database, as a-tool for information-storage and retrieval. Besides, the-study applied fundamental-Engineering-principles of *product* design, and was-carried-out in-compliance-with both; ISO8559: 1989 (Garment-construction and anthropometric-surveys-body-dimensions), and ISO7250: 1996 (Basic-human-body-measurements for technological-design). The-best-ranked-design (out of the-3 alternatives made) was chosen, *via* Engineering numerical-weighted-decision-Matrix and ‘Drop and Re-vote’ (D&R) method. 13 head-dimensions, and a-head of a-medium-size, and of normal-shape, for 50th percentile, black-African-male, of over 40 years of age, was selected, as a-design-target. The-values, for these-head-dimensions (one-dimensional measurements), were obtained from IOM and Anthrotech anthropometric-databases, for *civilian*-population. 2D-drawings, of the-selected-alternative, were created *via* computer-aided-design (CAD) AutoCAD-software. The-fibre, from which the-final-fabric, to-be-made, for a-chin-strap-device, was designed discretely of the-product-design-process, and then was-incorporated, into-the-design, as a-finished input/fabric-structure. This-study also adopted ‘analysis’ method of materials-selection. The-main objectives, of the-intended-device, was used as a-guide, in-materials-selection. Acrylic man-made textile-fibre was selected, *via* computerized-materials-databases/libraries; and afterwards the-woven-fabric of plain-weave was-chosen as main-material, for the-device. The-fabrication and assembly, of the-prototype, was achieved *via* stitching-joining-method. Traditional-testing/usability-inspection (by dynamic- verification) was conducted on a-volunteer (open-mouth-snorers); observers reported, that some-snoring reduction was noticeable, in-comparison with the-observations, of the-volunteer, sleeping, without the-device, moreover, the-device stayed-in-position (without sliding-off), during the-five-observation-nights. Overall, the-result of this-preliminary-design is somewhat-optimistic, further-improvement(s) and trials, however, are necessary. The-study, hence, further-recommended to: (1) carry-out a-detailed-design; (2) fabricate the-next/refined-prototype(s); (3) conduct explorative-use-ability-trials, in-collaboration-with the-department of Medical-Engineering, School of Medicine, MU; and (4) analyze the-marketing-aspect of the-design.

Keywords: materials-selection, Acrylic, textile, testing.

1. Introduction.

1.1. Snoring and its-effects.

Snoring is the-vibration of respiratory-structures, and the-consequential-sound, due to-obstructed air-movement, during-breathing, while-sleeping. In-mild-cases, the-sound may-be soft; in most-cases, however, snoring can-be very-loud, unpleasant, and annoying (Dreher *et al.*, 2009). Snoring is considered as one of the-factors of sleep-deprivation, and it may also-be a-warning-sign, of obstructive-sleep-apnea (OSA). Snoring can-happen in any-part of the-upper-airways, such-as: the-nose, the-soft-palate; the-back of the-tongue; and the-back of the-throat. *Primary/simple-snoring* is defined-as loud aspiratory-sounds, in-sleep, without Apnea or hypoventilation (American-Academy of Sleep-Medicine, 2001), which occurs due to-turbulent-air-flow, through a-narrow oropharyngeal or nasopharyngeal-space (Bradley & Floras, 2009). *Habitual-snoring* is a-chronic-condition, which may-be-described as snoring ‘almost-every-night’, or ‘every-night, per-week’ (Young *et al.*, 2001; 1993).

More-information on snoring and its-management can-be-viewed, *via* recent-publication, by Starovoytova (2018) (including: definitions; causes; prevalence; effects due-to-both: noise-pollution (auditory-disturbance), and health-effects, as a-result of obstruction of upper-airway), alongside-with snoring treatments/remedies).

This-study focused on habitual-open-mouth-snorers.

1.2. Principle of operation and advantages of chin-strap-device.

Chin-strap-devices, mainly-attend to-open-mouth-snorers; according to-statistics open-mouth-snorers comprise 80% of the-snoring-population (Kuna & Remmers, 2000). Figure 1 shows simplified-concept of a-chin-strap-

device. Chin-strap can-help to-reduce, or eliminate, open-mouth-snoring, by providing a-particular-position of the-jaw, and keeping-mouth-closed. The-chin-strap is structured to-mimic the-direction of the-forces that close-the-jaw, such as *masseter*-muscle. That is, the-chin-strap is intended to-close the-person's mouth, or jaw, *not* retract the-jaw. Component-wise, this-is-achieved *via* the-bottom-portion of the-device (jaw-cup), which goes-down and around the-jaw, by-keeping the-mouth closed and lower-jaw in an-upward and slightly-forward-position, which, in-turn, increasing the-3D-space, in the-airway, and, thus, reducing the-air-velocity and soft-tissue-vibration, and also prevents the-tongue and throat-tissues, from falling-back, and blocking the-airway (Kim *et al.*, 2011).

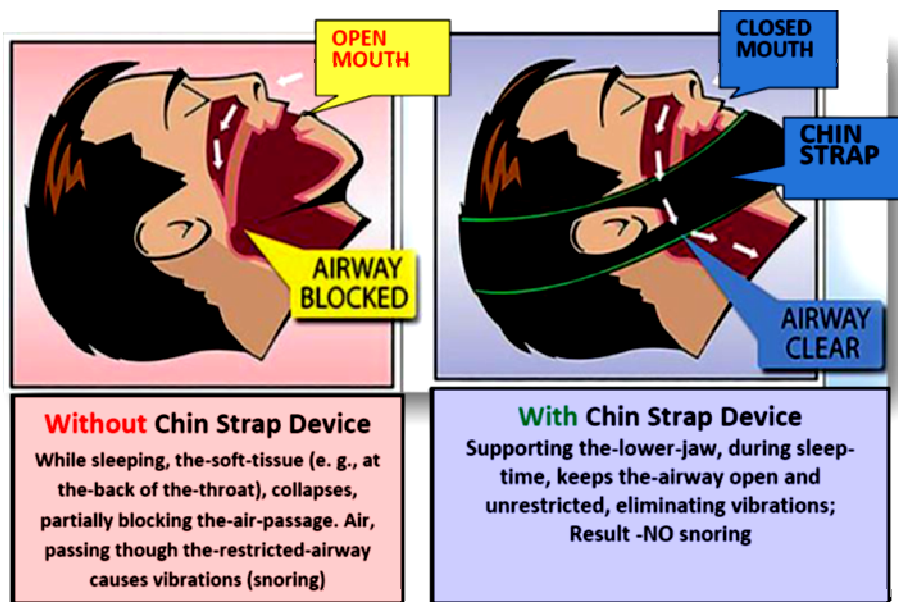


Figure 1: Concept of chin-strap-device

Since there-is nothing-intrusive, placed inside-the-mouth, chin-strap-devices can-be-used, by people, who have-had some-dental-work done (e.g., dentures, crowns, caps, or loose-teeth); and this can-be-considered as an-advantage, in-comparison with oral-devices. Another-advantage is that with chin-strap-devices, there is *no* lengthy-preparations/setup-process, required, such-as, for-example, 'boil and bite' for Mandibular-Advancement-Devices (MAD)-devices (see Starovoytova, 2018). Besides, *no* cleaning, after every-single-night, or special-storage-procedures, is necessary. For-example, chin-strap-device can-be easily-stored with the-clothes, in a-closet, or inside a-drawer; while-other-products may require special-casing. Moreover, being made of fabric, it-is practically-unbreakable, which is *not* the-case with MAD and Tongue-Retaining-Devices (TRD)-devices, which are easily-broken. In-addition, it-is easy to-put-on and to-take-off, and with the-proper-choice of a-fabric the-device cause *no* irritation/allergy.

1.3. Purpose of the-study.

As-mentioned-earlier, snoring-research has-shown that a-jaw-supporter/chin-strap-device (worn, during-sleep-time) that keeps the-lower-jaw, in an-upward-position, increases the-3D-space, in the-airway-tube, which reduces air-velocity, and soft-tissue-vibration, and consequently, snoring can-be eliminated, or substantially-reduced. In-particular, Vorona *et al.* (2007) described a-case, where a-patient with severe-OSA (apnea-hypopnea-index (AHI) 42/h in-general; 44/h in-REM-sleep, as-detected by overnight-split-night-polysomnography (PSG)) discontinued Continuous-Positive-Airway-Pressure (CPAP)-therapy, after one-month and wore a-chin-strap, alone, to-treat his-OSA, with continued subjective clinical-benefit. A-repeat-PSG was performed three-months after the-patient's initial-study (at-which-point he-had *not* been using CPAP, for two-months), with the-patient, wearing a-chin-strap, without CPAP, and it showed that his-AHI had-normalized-to 1/h.

The-chin-strap may-be used as stand-alone device, or with a-mask; the-mask, being used for treatment, e.g., of Sleep Disordered Breathing (SDB), with CPAP, or Non-Invasive Positive-Pressure Ventilation (NIPPV). Chin-straps are also proved to-be-effective, in-habitual-snorers, and patients with temporo-mandibular-joint-dysfunction (TMJD). Moreover, recently, it has-been demonstrated, that chin-straps could-be-an-effective-supplement, in the-fixed-positive airway-pressure (PAP) therapy (Knowles *et al.*, 2014), diminishing mouth-dry-

complaints, and air-leaks-associated-arousals (Vorona *et al.*, 2007). Moreover, the-American-Academy of Sleep-Medicine recommends, as a-best-clinical-practice, for PAP-titration, to-use chin-strap, as a-supplement, to the-nasal-mask, to-reduce air-leakage (Bhat *et al.*, 2014). Furthermore, Dr. Ahmed Kutty, of St. Mary Hospital, conducted small-scale sleep-study, consisted of 10 patients, who were tested and diagnosed-with OSA, on the-efficiency of chin-strap-device. The-study revealed: A substantial-reduction in the-number of OSA-episodes; A-substantial-reduction in the-number of snores; and Lower-blood pressure-readings, in the-morning. Besides, scientists of Kochi-Medical-School, Japan, recently released clinical-trial-information, concluding, that a-chin-strap, alone, improved OSA-symptoms, as-well-as, or better than the-use of PAP.

The-effectiveness of the-fabric's strength, the-quality of the-Velcro-closing-tabs, and the-overall-fit, of the-chin-strap, can-deteriorate, over-time. Yet, chin-straps reported to-offer longer-periods of effective usage, than other-anti-snore-solutions, primarily because they are *not* inside the-mouth. The-usage of the-chin-straps, however, should-be avoided, with: (1) severe-nasal-obstruction (allergy, chronic-sinusitis, nasal-polyposis, deviated-nasal-septum, etc.), to-avoid possible-blockage of the-mouth-breathing, as a-compensative-mechanism; and (2) gum-disease, or temporo-mandibular-joint-dysfunction.

According to Anti-snoring-devices-market-report, the-anti-snoring-devices market is to-reach USD 1,232.6 Million by 2020, from USD 744.7 Million in 2015, growing at a-CAGR of 10.6%, from 2015 to 2020. Major-factors, driving the-growth of this-market, include: the-growing-awareness on the-ill-effects of snoring; availability and benefits of anti-snoring-treatments; growing elderly and obese-population; presence of large-number of cigarette-smokers and alcoholics; and large-pool of untapped-snoring-population. However, poor-efficacy of current-anti-snoring-treatments and surgery-procedures, their-high-cost, and lack of reimbursement (by NHIF, or a-standard-medical-insurance) are major-factors restraining the growth of this-market. This-anti-snoring-treatments-market is divided-into anti-snoring-*devices* and snoring-*surgery*. The-anti-snoring-devices-market is poised to-grow, due-to their-low-cost, in-comparison-with surgical-procedures; less-invasive-nature of these-devices; and efforts, taken by stakeholders, in aggressive- advertising of these-devices.

In-Kenya, this-huge market-opportunity, for anti-snoring-devices, and in-particular, for proven-effective--chin-strap-devices, is unexploited, probably due-to-lack of awareness, on potential-harmful-effects of snoring (both; direct and indirect) among the-general-population, in-the country, as-well-as lack of locally-offered affordable-snoring-treatments. The-aim of this-research was to-design and to-prototype a-cost-effective, easy-producible, user-friendly, and reliable-device, that can-be-used to-manage open-mouth habitual-snoring. The-intended-device was designed to-help-providing normal-jaw positioning, and healthy, or healthier-sleep, as-well-as reducing the-Obstructive-Sleep-Apnea (OSA) associated-health-risks, without the-need for expensive-surgery, medications, uncomfortable, and at-times, *not* very-effective-anti-snoring-devices, or therapy.

2. Materials and Methods.

2.1. The-main research-steps.

This-study was focused on *product*-design, where several-tools have to-be-applied. Design-tools enable product-designers to-structure and formalize parts of their-design-steps (Jangager, 2005). To-achieve the-study-objectives, the-following-steps (shown in-Figure 2) were performed; *not* necessarily, sequentially, but at-times, conducted in-parallel, or, even, in-overlap.

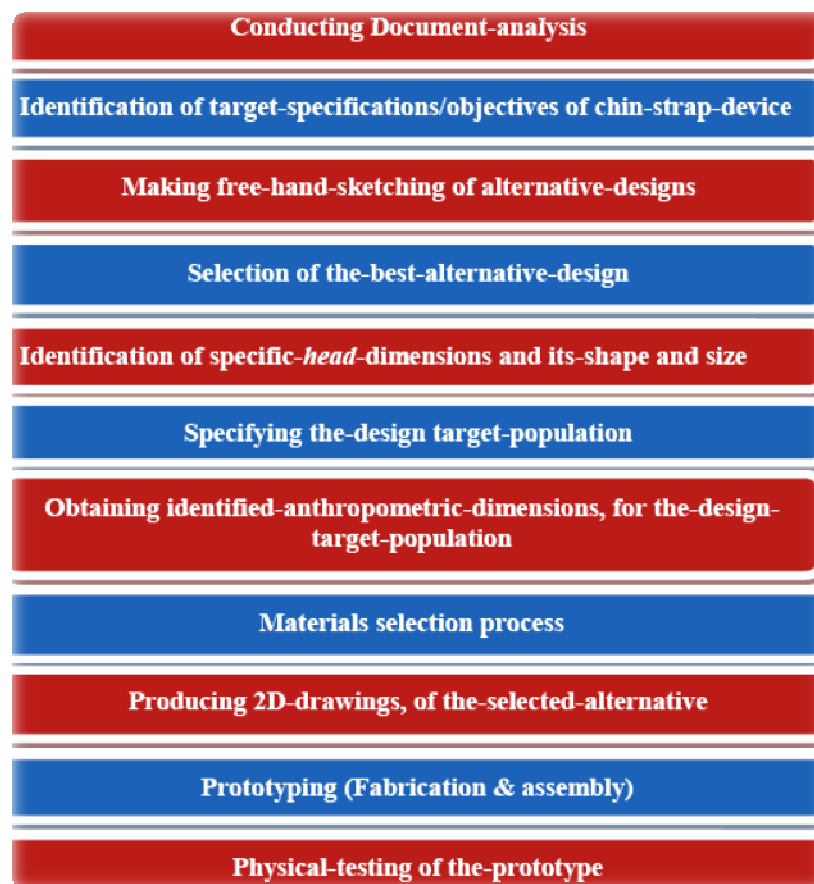


Figure 2: Main-steps of the-study.

Some-clarification, on the-steps, was provided below:

2.2. Conducting Document-analysis.

To-ensure a-unique-design, document-analysis was-utilized, as one-of the-study-instruments (including review of: (1) published-research on head-gear, head-products, and the-use of anthropometric-data in their-design; (2) selected-International-patents on the-designs of chin-strap-device; and (3) prior-art on chin-strap-devices and their-respective-limitations).

2.3. Identification of target-specifications/objectives of chin-strap-device.

Target-specifications/objectives, of chin-strap-device, were formulated-from, and based-on the-document analysis. Factors of consideration involved: Efficiency/functionality; Manufacturability; and Marketability, among-others. After the-determination of the-objectives, of the-device, Pair-wise Comparison Charts (PCC) were-used, to-rank the-importance of the-objectives, in the-different-levels.

2.4. Making free-hand-sketching of alternative-designs.

Romer *et al.* (2001) stated, that traditional-tools, such-as sketches and simple-physical-models are very-useful and cost-efficient, in-generating design-solutions, in early-phase of design-process. Besides, most of the-times (this-study included), design-problems, are open-ended; they do *not* have a-unique, or the-only-one correct-solution, though some-solutions will, clearly, be-better, than others.

In-this-regard, the-design-team produced 3 different-versions/alternative-designs, of chin-strap device, by free-hand-sketching, at-the-same-time making some-preliminary-calculations, which might-be required to-substantiate-ideas and to-establish approximate-sizes.

Product-designers utilize a-wide-variety of design-tools, ranging from sophisticated-computerized information support-systems, such-as CAD-systems, to inexpensive-memory-aids, such-as pencil and paper (Love, 2003). This-study, for-example, used a-pencil and paper, as tools, for sketching, the-alternative designs; and a-database, as a-tool for information-storage and retrieval.

The-design also applied fundamental-Engineering-principles of *product*-design. Besides, this-study was-carried-out in-compliance-with both; ISO8559: 1989 (Garment-construction and anthropometric surveys-body-

dimensions), and ISO7250: 1996 (Basic-human-body-measurements for technological- design).

2.5. Selection of the-best-alternative-design.

The-best-ranked-design (out of 3 alternatives) was chosen, *via* Standard Engineering-numerical weighted-decision-Matrix. This-choice was also-confirmed by ‘Drop and Re-vote’ (D&R) method, according to Filippo (2012).

2.6. Identification of specific-head-dimensions and its-shape and size; Specifying the-design target-population; and Obtaining identified-anthropometric-dimensions, for the-design-target-population.

First, specific-head-dimensions and its-shape and size, relevant to the-design of chin-strap-device, were identified. Subsequently, the-design-target was chosen. Then, these-anthropometric-dimensions, for the-design-target, were obtained, from appropriate-anthropometric-data-tables.

2.7. Materials selection-process.

Materials selection-process is crucial in product-design; hence, it will be given, here, in-some-detail, to-benefit potential-readers.

According to Manzini, a-*material* is a ‘system capable of performance’; the-material is defined by what it does, and *not* what it-is. The-different-aspects, of materials, can-be categorized in two-groups, namely: (1) the-technical aspects; and (2) the-user-interaction-aspects. The-technical-aspects of materials define how the-product will-be-manufactured, and how it will function. The user-interaction-aspects are those, which influence the-usability and personality, of a-product (Ashby & Johnson, 2002; Cross, 2000).

Materials-selection is a-concept used to-refer-to several-things. For-example, it refers-to a-group of materials, which is selected for a-certain-purpose. It can also-refer to a-specific-phase in the-development of an-artifact, e.g., the-materials-selection-phase, indicating a-certain time-period in a-project. In-this-study, the-term ‘*materials selection*’ is defined, as an-activity, where materials-selection is the-goal-oriented-activities, and steps, that product-designer perform.

Materials-selection plays an-essential-role in the-product-design-process (Doordan, 2003). Ashby (1999) describes the-design-process, in itself, as an-introduction to a-methodology for selecting-materials, where 4-elements (function, shape, materials, and manufacturing-processes) do interact; Ashby terms these-interactions as-the-central-problem of materials-selection. Product-materials determine the-range of function, durability, certain-costs, user-feedback, and user-experience and overall-satisfaction, with the-product. Sapuan (2001) pointed-out, that the-aim of materials-selection is ‘the identification of materials, which after appropriate manufacturing operations, will have the dimensions, shape, and properties necessary for the product or component, to-demonstrate its-required function at the-lowest-costs’. Besides, Van Kesteren (2008) defines an-*effective-materials-selection* as: ‘The activities and steps that results in a materials specification that includes materials which are the best available options for not only the product’s functionality but also its interaction with the user’.

Various-researchers focus on analytical-approaches toward selecting-materials and are, mainly, based on materials-selection in mechanical-engineering (see Ashby, 1999; Farag, 1989; Cornish, 1987).

Ashby & Johnson (2002) identify 4 materials-selection-methods: (1) ‘analysis’; (2) ‘synthesis’; (3) ‘similarity’; and (4) ‘inspiration’ method. In-the ‘analysis’ method, a-list of product-requirements is translated-into-material-objectives and constraints. Dobrzanski (2001) further-explains that, after defining the-requirements for a-new-product, these-requirements are compared-with extensive-materials-databases, for a-preliminary-selection of a-number of materials, that might-be-applicable. In-the ‘synthesis’ method, product-requirements are translated into required-features, and then, a-database of products is explored. The-method exploits the-knowledge of other-solved-problems, and, hence, requires information about previous-materials-solutions. Where product-requirements is *not* a-starting-point, for selecting-materials, the ‘similarity’ method can-be used. For an-established-material, an-attribute-profile is generated, that is used to-find materials-solutions, closely-related-to the-established-one. Analogous to the ‘analysis’ method, information is needed about characteristics of available-materials. Innovative-thinking fuels the-last-method, identified by Ashby & Johnson (2003) as ‘inspiration’, where a database-with-materials is combined-with a-database of products, and new-matches are generated.

This-study adopted ‘*analysis*’ method of materials-selection. Besides, a-fibre, from which the-final-fabric, to-be-made, for the-chin-strap-device, was designed discretely of the-product-design process, and then was-incorporated, into-the-design, as a-finished-input/fabric-structure. The-main objectives, of the-intended-device, was used as a-guide, in-materials-selection.

In this-type of process, the-designer does *not* design a-new-material (fabric, in this-case), for the-product, but instead, selects a-textile-material, that works with the-rest of the-choices, made in the-design. To-select the-most-appropriate and locally-available-fabric, made of the-pre-selected-fibre, this-study used computerized-

materials-databases/libraries. Furthermore, depending on the fibres, that have been used, how these have been spun, how the textile structure has been constructed, coloured, pre-or post-treated, the resulting textile will be suitable for a defined application. In each of these steps, choices were made, that have an impact on the final textile material. Simply put, an appropriate textile fibre was chosen, first, and then the type of fabric and its features, were selected.

On the other hand, literature sources, presenting tools, for materials selection, mainly, focus on computerized materials databases (e.g., Beiter *et al.*, 1993; Martini-Vvedensky, 1985). In some databases, sensorial properties are presented (e.g. www.materialexplorer.com), some provide good practices guide (e.g., McMahon & Pitt, 1995), while other databases focus on manufacturing aspects (e.g., CES). Intelligent databases enable its user to combine different requirements, for example, via a dialogue with the system (e.g., Smith *et al.*, 2003), via a decision matrix (Shanian & Savadogo, 2006), or with a case base reasoning system, with flexible retrieval of its content (Mejasson, 2001). Cambridge Engineering Selector (CES), in particular, is a well-known computer system, developed by Ashby and co-workers, at both; Granta-Design and Cambridge-University Engineering-Department. CES presents the material world in a comparable way, showing property charts, containing all materials, and enable finding optimal materials, for certain property combinations.

Two kinds of material properties are distinguished: the 'physical properties' and the 'sensorial properties'. Both properties lead to different clustering of materials. The physical properties are categorized as: mechanical, electrical, thermal, chemical, and optical properties (Ashby, 1999). Clustering materials based on the sensorial properties leads to groups that have the same visual and tactile characteristics, but do not automatically include the same materials. For example, the ceramic material groups closely to aluminum, because neither can be transparent (Johnson *et al.*, 2002).

The engineering properties of materials are presented as material selection charts. The charts summarize the information of any given property, available to a designer, showing the range. Materials selection, involves identifying the desired attribute profile, and then comparing it with those of real engineering materials, to find the finest match. Materials are conveniently put in clusters, so if one material is not available, or expensive, another material, from the cluster, can be taken. According to Shah (2013), Ashby plots, such as the one, presented in Figure 3, are very helpful, during materials selection process, for 4 key reasons, as they: (1) allow quick retrieval of the typical properties of a particular material; (2) permit rapid comparison of the properties, of different materials, revealing their comparative efficiencies; (3) facilitate the selection of the materials manufacturing processes, during the product design stage; and 4) enable substitution studies, exploring the potential of one material, to replace another.

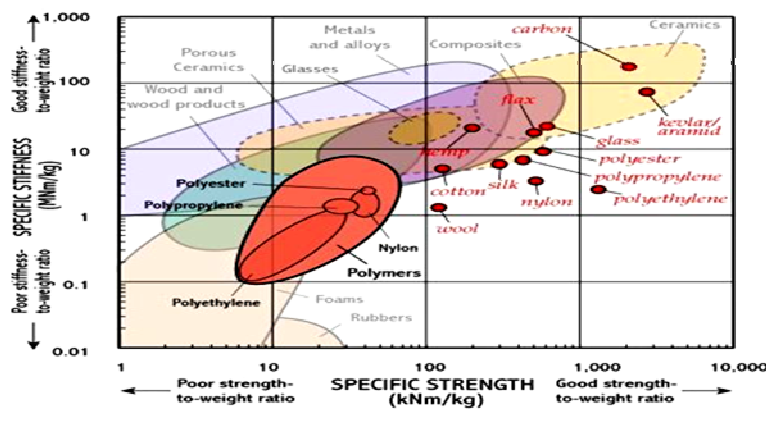


Figure 3: An example of Ashby plots (Ashby & Cebon, 2007).

This study adopted the interaction of function, materials, shape, and manufacturing processes, from Ashby (1999), and the interaction of use, function, materials, and shape, from Roozenburg & Eekels (1995). To select an appropriate material (from the ones, locally available) this study utilized Ashby charts and a computer materials database.

2.8. Producing 2D drawings, of the selected alternative.

2D drawings, of the selected/best alternative, were created via computer-aided design (CAD) AutoCAD software.

2.9. Prototyping (fabrication & assembly).

According to Hallgrímsson (2012), physical-model-making and prototyping is one of the-most-recognized and accepted-approach, which has always-been-used, by the-product-designers, to-communicate their-design-solutions. He also pointed-out, that prototypes are playing an-important-role, for designers, allowing them to-physically-see the-idea in-3D-form, and therefore an-essential-medium for problem-solving, in-design. Moreover, Marks (2000), and Kelly (2001) also support the-existence of physical-models, and reject the-notion of ultimate-dependency on virtual-models, as-tools for solving *all*-design-problems.

Prototyping is a-design-method, that uses *physical*-prototypes to-study and test how a-new-product will-be-used, and how it will-look in a ‘manufactured-state’ (Hallgrímsson, 2012). A-physical-prototype is a-tangible-object, which looks similar to the-final-product. Ulrich & Eppinger (2012) define prototype as ‘an approximation of the product along one or more dimensions of interest’. These-dimensions are characterized-as: physical *vs.* analytical; and comprehensive *vs.* focused.

Similarly-to ‘a-picture tells a-thousand-words’; ‘prototypes are worth a-thousand-pictures’. According to Kelly (2001), ‘prototypes are wonderful tools for understanding tangibility’. Besides, with-respect-to manufacturing, prototyping is also-important, to-anticipate how products can-be-produced and assembled, as-efficiently as-possible.

Ullman (2003) classified prototypes, as-follows: (1) ‘proof of concept’ prototypes are used in the-early-stage of product-development; (2) ‘proof of product’ prototype clarifies a designers’ physical-embodiment and production-feasibility; (3) ‘proof of process’ prototype shows that the-production approaches and resources can successfully-result in the-preferred-product; and (4) final-prototype demonstrates that a-complete-manufacturing-process is effective, in-proof of production. This-study used ‘*proof of concept*’ physical-prototype.

On-the-other-hand, any-product (whether it-is a-workstation, or clothing) has-to-fit the-user-population. Normally, and this-design included, the-user-population varies in size, and the-designer should-account for this-range of sizes. There are 3 ways, in which a-design will-fit the-user: (1) Single-size, for all; (2) Adjustment – The-design can incorporate an-adjustment-capability, to-accommodate several, but *not* all-sizes; and most-expensive-option (3) Several-Sizes, to-choose from (e.g., S, M, L, XL, etc.). This-study used adjustment-option, to-cater for-different-sizes.

The-fabrication and assembly, of the-prototype, was achieved *via* stitching-joining-method, with *no* additional-joining-processes, such-as adhesive-bonding.

2.10. Physical-testing of the-prototype.

Visual-inspection, and basic-testing and of the-prototype, was conducted in realistic-situation, wherein the-person/volunteer (open-mouth-snorer) slept, while two-observers watched and took notes (so-called ‘dynamic-verification’), during 5 consecutive-nights.

3. Results and Analysis.

This-section provides a-summary of the-results, of the-main-steps, of the-current-study (according to the-Figure 2).

3.1. Document-analysis.

A-number of relevant-International-patents (developed by individuals, as-well-as design-companies) were reviewed; examples included: [EP3045154A1](#) (2016); [US9308339](#) (2016); [US8851078](#) (2014); [US7331349](#) (2008); [US20070181135](#)(2007); [US7000615](#)(2006); [US6277053](#)(2001); [US5893365](#)(1999); [US5787894](#)(1998); [US5687743](#)(1997); [US5361416](#) (1994); [EP0264516A1](#)(1988); and [US3572329](#)(1971).

Besides, the-previous-work of selected-authors, who have-researched on head-gear, head-products, and the-use of anthropometric-data, were examined (including: Lee *et al.*, 2013 and 2015; Ball, 2009; Yokota, 2005; Zhuang & Bradtmiller, 2005; Kim, 2004, 2005; Kim *et al.*, 2004; Ahn & Suh, 2004; and Han & Choi, 2003).

On-the-other-hand, different chin-strap-devices are currently-available; selected-examples were presented in Figure 4. It-is important to-note, that some of the-chin-strap-devices have slits, on the-sides, to-fit ears, nicely, inside of them, while others *not*; regardless of the-option, keeping the-device, in-place (*no* sliding-off), during the-whole-night, is paramount.

Besides, all of the-devices are quite-expensive, especially for people, in-developing-countries, like Kenya. Additional-complains was also-expressed, by their-users, that some-devices are slippery (slide-off during the-night-sleep), and painful/uncomfortable, to-use.



Figure 4: Examples of selected chin-strap-designs/devices available, globally.

3.2. Identification of target-specifications/objectives of chin-strap-device.

The-device should-be: cost-effective, easy-producible (using locally-available-fabric); light-weight; thermally-comfortable; fitting various-head-sizes; safe for the-user, and for bed-partners; reliable; structurally-sound; biocompatible; and durable. The-device should also: (1) fit comfortably, without binding; (2) Orientates one about how the-device can-be positioned, correctly, at the-first-attempt; and (3) There should be *no* resistance, when putting it on. To-achieve all-these-criteria, all the-components should (Ashby & Cebon, 2007): (a) be symmetrical (and have polar-geometry-mark), if possible, as this also helps in manufacturing; (b) have consistency, in the-dimensions, used for feeding, orientation, and location; (c) have location-points; and (d) be functional, hence, components which are *not* important/functional should-be-eliminated.

Figure 5, hence, summarizes main-objectives of the-device. The 3 major-utility-characteristics of the-device are: functional-efficiency, adjustability, and thermal-comfort-ability. Functional-efficiency was-considered-as paramount, as if the-device does *not* reduce snoring, it defeats the-very-purpose of its-wearing. Easy mouth-closure, without rearward-displacement (e.g., for minimizing upper-airway restriction (UAR)); permit forceful-mouth-opening, (e.g., for risk-mitigation, of nasal obstruction, and for speech, if needed); stabilize the-jaw, in-place; light and/or minimal encumbrance; cool and comfortable; aesthetically appealing; reasonably-durable, and easy-washable/maintainable. Besides, comfort was also-considered as an-important-characteristic; uncomfortable-device can distract one from uninterrupted- sleep, while properly-fitting-device will help one to-stay comfortable, when encountering various-sleeping positions and conditions.

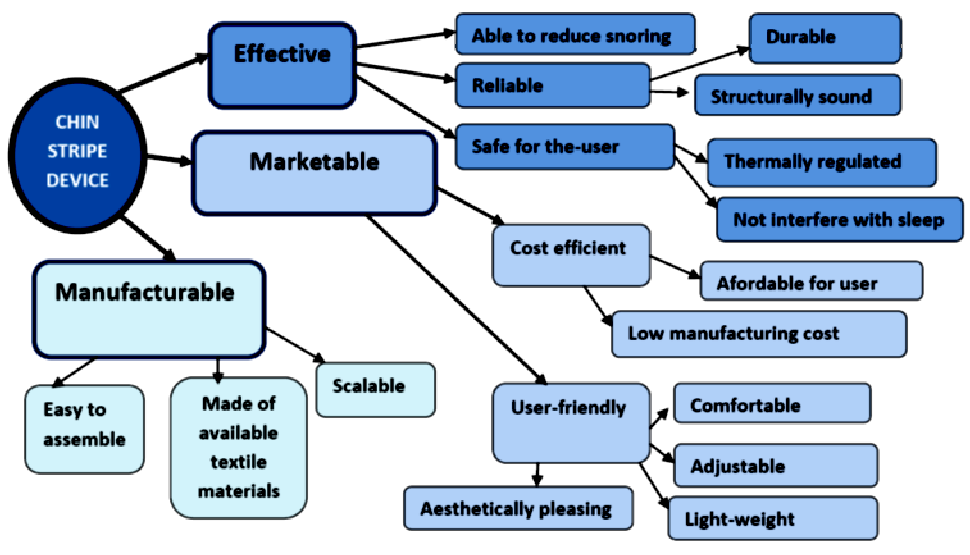


Figure 5: Main-objectives of the-device.

The-device had to-be equally user-friendly (adjustable, and easy to-use and maintain), and cost-effective. Proper-maintenance is essential for proper-functioning of any-device. With chin-strap-device care and maintenance should be very-minimal and simple, including hand or machine-washing, quick-drying, besides, *no*

ironing should-be required. It also should-be portable, easy to-store, and to-transport.

The-device should also fit neatly, so that the-user does *not* have-to constantly-adjust the-device, during sleep. ‘Comfort’ and ‘light-weight’ were equally-ranked. ‘Aesthetically pleasing’ received the-lowest-score, on the-ranking, but is still an-important-aspect, to the-product-design-process, as the-user must feel mentally-comfortable, while handling and wearing the-device; as an-absolute-minimum the-device should *not* be repelling. Finally, the-design has to-be-scalable, for it to-be-manufactured, on a-larger-scale. It also must-be easy to-assemble, so that a-manufacturing-company can-minimize the-time, spent on the-construction of the-design.

Biocompatibility was also-taken into-consideration as a-constraint; the-device must *not* irritate the-skin, or result in a-higher-surface-temperature. With proper-material-choice, that incorporates sweat wicking or a reasonably-high thermal-conductivity, the-body-heat can-be-dissipated, easily, to-prevent profuse-sweating and, hence, discomfort.

3.3. Free-hand-sketching of alternative-designs.

Three-design-alternatives, developed, by the-design-team, are shown in Figure 6. Some-preliminary calculations, were done, at the-same-time, which might-be-required to-substantiate-ideas and to-establish approximate-sizes.

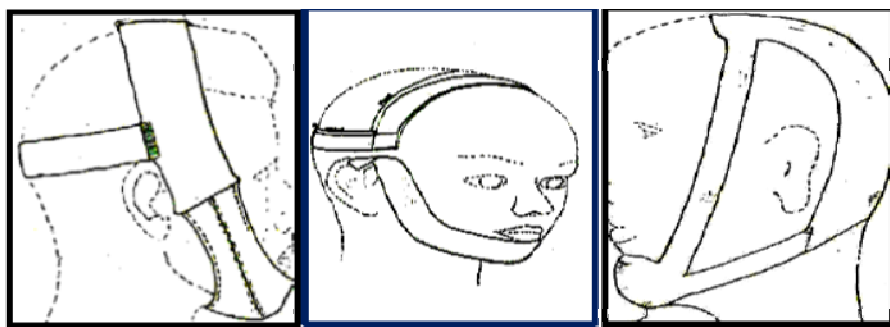


Figure 6: Free-hand-sketchers of the-three design-alternatives (Alternative #1 - left; Alternative #2 - middle; and Alternative #3 - right).

3.4. Selection of the-best-alternative-design.

Weighted-attributes, reflecting their-importance, were-chosen as-follows: Functional-efficiency @ 0.3; User-friendly/comfortable/easily-maintained, cost-effective, scalable, and light-weight @ 0.15, each; and Aesthetically-pleasing and Biocompatible @0.05.

Alternative design #2 was selected, with the-highest-score of 0.78; while Alternative #1 scored 0.63; and Alternative #3-- 0.47. Analogous to Starovoytova & Namango (2016), to-confirm the-choice, additional-method, of selection of best-alternative, was used, namely ‘D&R-method’, where the-members of the-design-team, each, order alternative-concepts in a-weak-order, an-ordinal-ranking, with *no* level of preference. The-weak-orders are then compared to-some common-filtering-criterion (such as ‘choose the-best of the-best’ or ‘avoid the-worst of the-worst’) and the-most poorly-ranked-concept were dropped from further-consideration. The-process was then repeated, until only one-alternative remains. Figure 7 shows free-hand-sketch of four-views of the-winning-design.

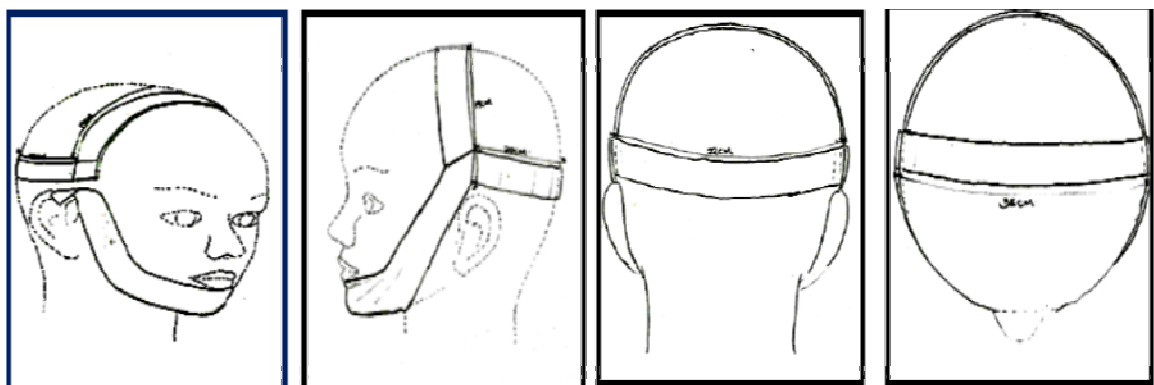


Figure 7: Free-hand-sketchers of four-views of the-chosen-design.

3.5. Identification of specific-head-dimensions

Lee *et al.* (nd), identified 122 head-dimensions (based on 18 references) shown in Figure 8.

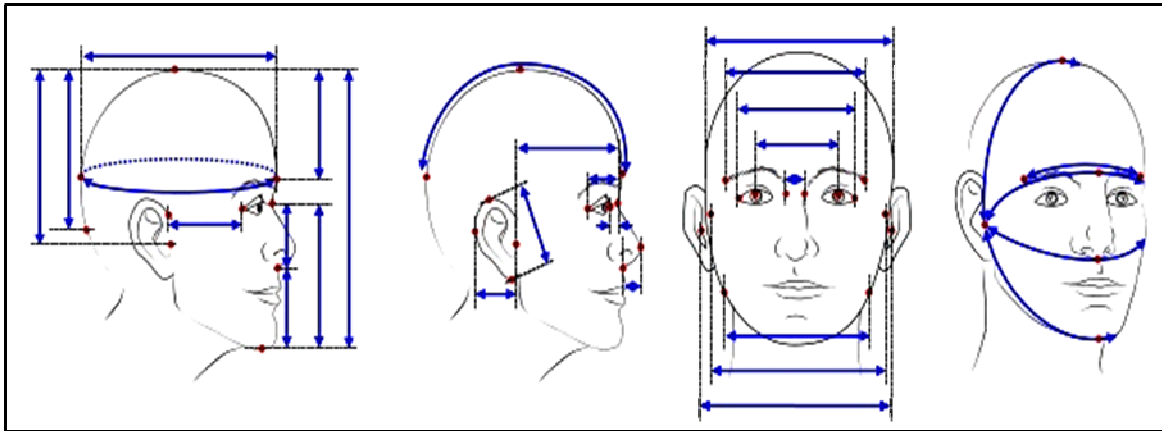


Figure 8: Head-dimensions, for the-design of head-related-products (Lee *et al.* (nd)).

Out of 122 head-dimensions, shown in Figure 8, thirteen were selected, as relevant and appropriate, to design head-related-products, such-as chin-strap-device, namely: (1) head-breadth; (2) head circumference; (3) head-length; (4) ear-length; (5) ear-breadth; (6) bitrignon-vertex-arc; (7) bitrignon-inion arc; (8) bigonial-breadth; (9) bitrignon-chin-arc; (10) bitrignon-coronal-arc; (11) bizygomatic-breadth; (12) trignon to vertex-length; and (13) superior-auricle to vertex-length.

3.6. Identification of head-shape and size.

Characteristics of the-head are partly-determined by genetic-factors (Mckeever, 2000), but they can-also be-affected by gender, nutrition, climatic, geographic and socioeconomic-factors, and health-care; so they occur as a-result of interaction of genetic and environmental-factors (Cvetkovic & Vasiljevic, 2015).

Using Figure 9 and 10, as a-reference, a-head of medium-size, and of normal-shape was-chosen, for this-design.

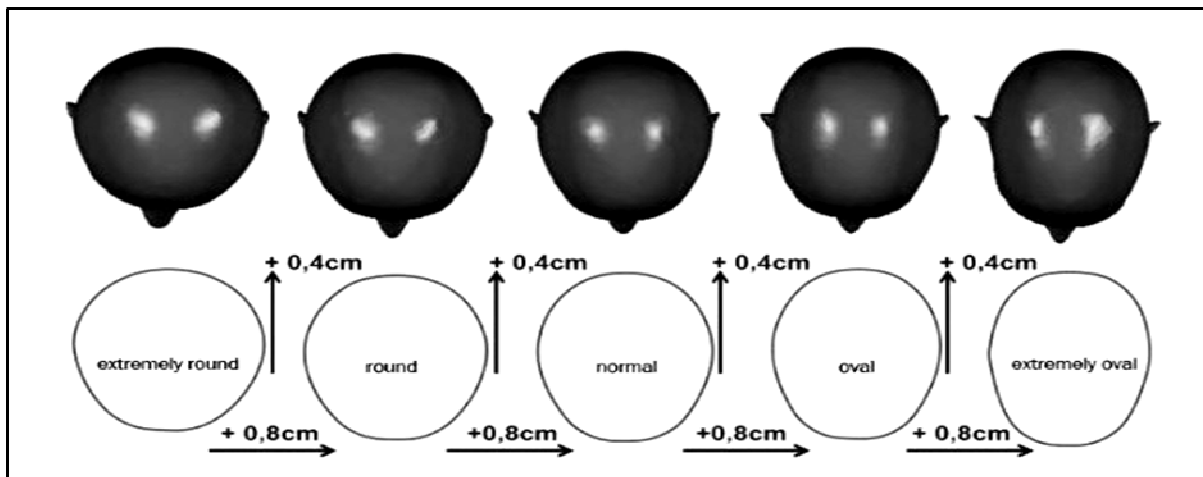


Figure 9: Head-shapes (Lee *et al.*, 2006).

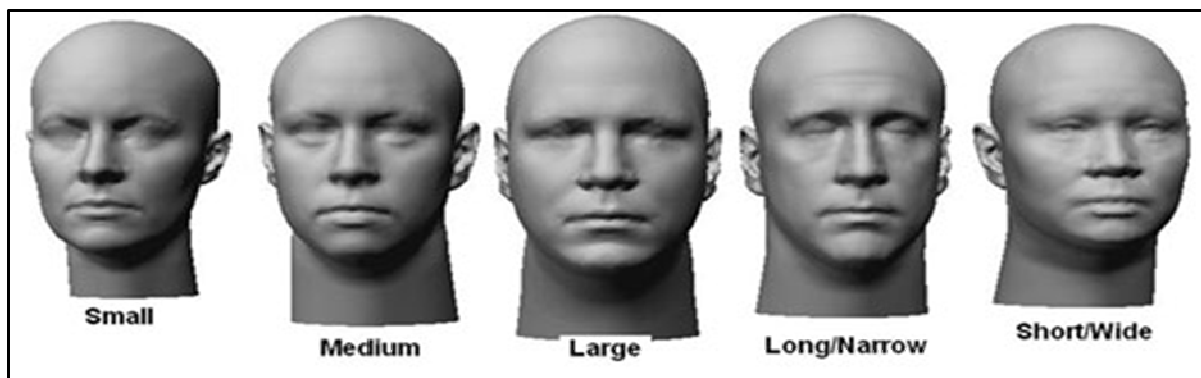


Figure 10: Head-sizes (Lee *et al.*, 2006).

3.6. Specifying the-design target-population.

50th percentile (covering 90% of the-population), Black-African-male, over 40 years of age, was-selected, as most-appropriate-target, for this-design, according to *Ergonomic-Design-principles*.

3.7. Obtaining identified-anthropometric-dimensions, for the-design-target-population.

Lida (2005) pointed-out, that, in the-field of anthropometry, there-are-tendencies of global-standardization, though *no* reliable anthropometric-measurements for the-world-population. Most-measures, available, are contingent of the-armed-forces; almost-all refer-to-the-measure of adult-males, between 18 and 30 years of age. However, according to the-military-recruitment selection-criteria, people below a-certain-height, *cannot* be employed, and hence, their-data is excluded, from such-anthropometric-tables. This-indication, together-with age-restriction makes such-tables deficient (AHFE, 2014). In-response to-such-problems, a-joint-venture-initiative, was formed, to-be-known-as African-Body-Dimensions (ABD), which intent to-provide measurement, from all-inclusive-representative *civilian*-sample. The-initiative was-formed by Ergonomics-Technologies, the-University of Pretoria, Department of Consumer-Science, and the-University of Potchefstroom, School of Biokinetics, Sports-Science and Human-Movement. ABD is yet to-be-completed, moreover South-African-National-Defense-Force (SANDF) anthropometric-database, which is currently the-largest South-African anthropometric-database, and possibly, the-largest, in-Africa, was *not* attainable.

In-the-absence of the-local/African-data, the-values, for chosen-dimensions (one-dimensional measurements) were, obtained from anthropometric-data-tables of IOM (2007) and Anthrotech (2004), for all-inclusive *civilian*-population.

3.8. Materials selection.

According to Ashby & Johnson (2003), the-starting-point, for a-design-project, is function, which dictates the-choice of materials and shape. Shape includes both; the-external-shape (macro-shape) and the-internal-shape (e.g., honeycombs). To-assist in the-selection of materials, many material-libraries are-being-build, worldwide, such-as for-example: MaterialConnexion (www.materialconnexion.com), which was used in this-study. Selecting-materials, however, is more than just picking a-material, from a-database-catalogue; it requires a-considerate-approach.

Ashby & Cebon (2007) stated, that the-selection of materials comprises of 4 steps: (1) translating the-design-requirements, as constraints and objectives; (2) screen the-material-world, to-find-materials that *cannot* do the-job; (3) rank the-materials that can do the-job-best; and (4) explore the-top-rated-materials. In-this-regard, the-product-*material*-attributes, were-identified, from the-main-objectives of the-device (see Figure 5), as-follows: durable, light-weight, thermally-regulated, affordable, locally-available, and safe for the-user (non-toxic).

Besides, it-is paramount, to-choose materials, that are fit for-purpose (BBC: design and technology); in this-study the-following-parameters was considered: (1) *Fibre-nature* (natural or man-made (regenerated or synthetic) fibres); (2) *Fabric-construction* (e.g., woven, knitted, or non-woven); (3) *Manufacturing-processes* (should the-fabric be dyed, printed, or some-mechanical-finishing, or chemical-finishing, should-be applied); and (4) *Maintenance* (durability, and aftercare-requirements). The-fibre-nature, fabric-construction, and finishing-processes, determine the fabric's aesthetic (handle; drape; colour; and appearance), functional (strength; durability; crease-resistance; flame-resistance; stain-resistance; water-resistance; aftercare; and cost) and

comfort-properties (absorbency; breathability; elasticity; softness; stretch; and warmth).

With-regard to the *fibre*-material, synthetic-fibers, is generally, cheaper, more-durable, and easy to-handle-alternative to natural-fibers; this-class of textile-fibres, hence, was examined-further.

One of the-most-important-objectives, of the-device, is that it should-be thermally-regulated. The-transportation of liquid, into yarns and fabrics, may-be caused by external-forces, or capillary-forces, i.e., wicking. This-property can-be characterized by wett-ability of textile-fabrics. Wett-ability, of a-fabric, depends on fibre-characteristics, fabric-surface-properties, and specific-characteristics of fabric manufacturing. Absorption of moisture is affected by yarn-texture, chemical-properties of fibre-surface, geometrical-properties of fibre, type of weave, construction-parameters, variations in interlacing, capability and moisture-absorbency of fibres, geometric-configurations of the-pore-structures (pore-size-distribution and fibre-diameter), viscosity, and density of the-fabric-surface. Wicking increases, with the-rise in-viscosity of the-melt-polymer (liquid) and decreases with the-increase in the-surface-tension of the-liquid, capillary-radius, and contact-angle (Frydrych & Matusiak, 2003).

The-study also-considered basic-aesthetic and hygienic-properties of the-(woven)-fabrics, such-as: the-change of dimensions after washing (shrinkage), crease-resistance, drape-ability, and air-permeability.

The *bending-rigidity* of the-fabric, together-with the-pressure, acting on it, are the-reasons for material creasing, which is the-most frequently-occurring mechanical-effect, appearing on the-woven fabric's surface. Creasing also leads to a-general-aesthetic-distortion of the-material's surface-view. The-measure of crease-resistance, which depends on the-elastic fabric-properties, is determined by the-wrinkle-angle (ISO 2313-1972 (E)).

The *drape* of woven-fabrics is one of the-most-important-properties, for both; clothing-textiles and technical-textiles (Marooka & Niwa, 1976). Drape-ability is closely-connected with stiffness (Grosberg, 1980). Very-stiff-woven-fabrics are characterized by a-drape-coefficient near 100%, whereas soft-fabrics by one of 0%. This-coefficient, for woven-fabrics, with loose-weaves is ranging of up-to 30%, while for stiff-fabrics it can reach 90% (Frydrych *et al.*, 2003).

Air-permeability is one of the-biophysical-features of clothing. This-property determines the-clothing's ability to-carry-out gaseous-substances and sweat, significantly influences the-thermal protection of the-human-body, ensures the-maintenance of an-appropriate-body-temperature, and determines its-protection against atmospheric-factors.

Considering all-the-requirements, for the-appropriate-material, for the-chin-strap-device, a-dyed plain-weave woven-fabric, made of Acrylic-man-made-fibres, of synthetic-nature, was selected. In plain-weave-fabric the-warp and the-weft are aligned, so that they form a-simple cress-cross-pattern, which is strong. Following-narrative justifies the-selections, made.

According to Mall (2007), *acrylic-fibres* are third-largest-class of synthetic-fibres, after polyester and nylons. Acrylic-fibres are produced from a-monomer (Acrylonitrile ($\text{CH}_2=\text{CH-CN}$)), with an-average molecular-weight of $\sim 100,000$, about 1900 monomer-units, with the-use of basic-chemicals, such-as: Propylene, and ammonia. Besides, for a-fiber to-be-called 'acrylic', the-polymer must-contain at least 85% acrylonitrile-monomer.

Moreover, according to a-book, by Capone & Masson (2004), *acrylic-fibres* are: soft, light-weight, durable, strong, with high-crease-recovery, and color-fastness to-both; washing and sunlight. They are also easy to-care and to-laundry; with high-abrasion-resistance, moderately-high-luster, good-wicking action, which helps in quick-transfer of moisture and sweat, resulting in quick-drying; acrylic is also *non-allergic*, *non-toxic*, with high-resistance to tear, mildew, odor, insects, oils, and chemicals (Mall, 2007).

Selected-properties of *acrylic-fibres* are as-follows: (1) a-moisture-regain of 1.5-2%, at 65% RH and 70 deg F; (2) a-tenacity of 5 gpd, in dry-state, and 4-8 gpd, in wet-state; (3) Breaking-elongation is 15% (both-states); (4) an-elastic-recovery of 85%, after 4% extension, when the-load is released immediately; and (5) a-good thermal-stability. Acrylic is also about 30% bulkier, than wool, and it has about 20% greater-insulating-power, than wool (Encyclopedia of textile science and engineering).

A-fabric is a 'structured-material', usually made as a-flat, flexible-sheet, by weaving, or knitting *fibres*, in-bundles (see Starovoytova *et al.*, 2015). The-key-factor, that lends acrylic-fabric its-quality of comfort, is its-ability of moisture-transportation, or wicking. Acrylic-fibre is characterized with inherent-polarity i.e., the-ability to-attract and convey moisture. Due to this-quality acrylic-fiber gives lifetime-wicking-capability, to-fabrics that are made, from it. Due to its-greater wicking-ability, acrylic-fibres pick-up the-moisture, formed primarily-due-to sweating, and transport-it to the-device's outer- surface, from where the-moisture evaporates. Thus the-skin remains dry and the-wearer feels comfortable. Acrylic-fabrics are also machine-washable and extremely color-fast. This makes it useful in-the-application, requiring frequent-washing, such-as for chin-strap-device.

On-the-other-hand, many *fabric*-properties (for-example: strength, stiffness, and tear-resistance) are *directional*, i.e. they depend upon the-direction of loading, compared to the-orientation of the-fabric. Most-

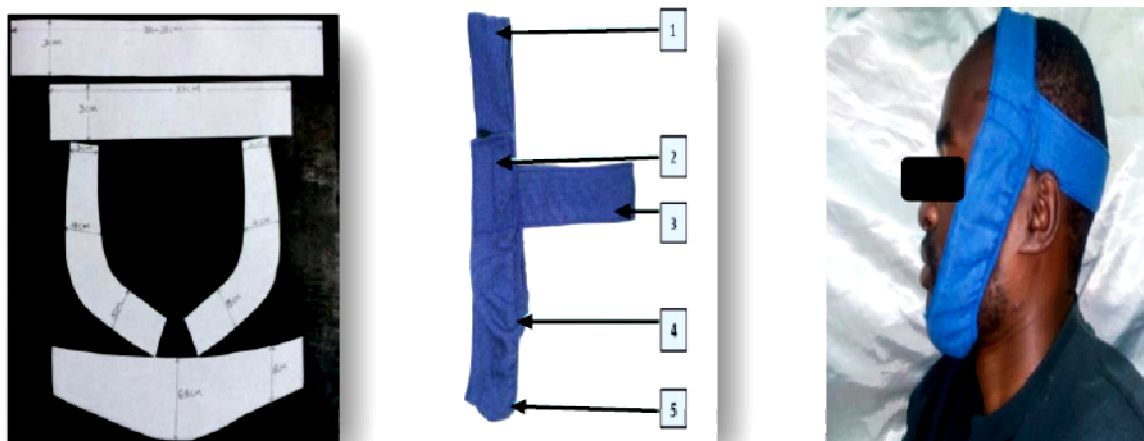


Figure 12 (left): Components of the-prototype; Figure 12 (middle): Fabricated-prototype;
Figure 12 (right): Anti-snoring chin-strap-device-prototype (worn by a-volunteer).

Keys (for the-Figure 12 (middle)):

- 1-Top-strap, that promotes a-vertical-angle of force, on the-chin, as opposed to-horizontal, in-order-to-reduce the- potential of inducing sleep-related-events, like sliding-out-position.
- 2- Adjustment-joint, fitted with Velcro-stripe, to-enable the-device to-be used by people of different-head-sizes.
- 3- Back-strap, that attaches to the-chin-straps and top-straps, on both-sides, and wraps-around the-back of the-head, for the-ultimate-stability.
- 4- Chin-strap-portion, fitted with the-adjustable support-strap, which attaches to-the-section, of the-chin, and wraps-around the-chin-section, with integrated-chin.
- 5 - The-chin-area, of the-device, that is fitted-with elastic-bands, on the-internal-side of the- device, to-guarantee greatest-contact, and enough-upward-strain, to-hold the-mouth in a-shut-position.

3.11. Testing of the-prototype.

Physical-testing confirms that the-prototype meets the-performance-requirements, established during the-concept-phase. In-this-regard, the-fabricated-prototype was physically-tested on a-volunteer (open-mouth-snorer); observers reported, that the-device stayed-in-position, throughout five-observation- nights, without sliding off, and also some-snoring-reduction was noticeable, in-comparison with the-observations, of the-volunteer-sleeping, without-the-device.

4. Cost of the-production.

The-production-cost of the-device, according-to its-Bill of Quantities, for all-the-required-materials (including 30% labor) is KES 1,500 (USD 15.0), which compares-favorably (e.g. much-cheaper) with other-devices, available at-the-market.

5. Final-annotation on the-way forward.

This-*unfunded* concise-study was preliminary, by nature; its-results, are largely, relatively-positive, providing a-good-starting-point, for further and much-deeper-study, on the-same. Next-logical-step, would be a-detailed-design, which can-be-generated, using 3D-solid-modeling CAD-programs, such-as SolidWorks. Besides, the-Finite-Element-Analysis/Method (FEA/FEM) can-be used, to-conduct stress-strain-investigations. In-addition, AUTODESK Simulation-Mechanical, can-be-used, to-perform stress-strain-analyses and heat-transfer-modeling. Moreover, final trade-off of performances-test (see Mascitelli, 2000), and **FMEA**-tests should-be conducted, as every-product has some-possible-failure-point, and it-is important to-identify such-failure-point(s) and the-subsequent effect(s). A-particular-component-failure is often identified, during the-use-ability-testing-process, meaning that only that-component should-be redesigned, and *not* the-entire-product.

Additionally, according to Ui *et al.* (2002), the-emphasis of the-design-decisions, unavoidably shifts-away from technology, towards the-user-interaction-aspects, to-cope with the-new appreciations of consumers, for the-aesthetic-values of materials. Several-studies investigated the-relation, between materials and user-interaction-qualities, of products, and how users appraise materials. In the-textile-field, for-example, studies try to-classify the-visual and touch-dimensions of different-textiles (see Giboreau *et al.*, 2001) and, even, the-sound-dimensions (see Ui *et al.*, 2002). Giboreau *et al.* (2001) also-noted, that instrumental-machines have-been-available since the-1970s, that were to-use physical-objective-means (compression, bending, extension, shear), to-predict

sensory-dimensions (dry, thick, rough, warm) for textiles (e.g., the-Kawabata-Evaluation-Structure). These-machines combine the-sensory-perceptions, of a-test-panel, with the-objective-measurements. In-another-example, Zuo *et al.* (2004; 2001) try to-find relations, between texture, of materials, and emotions. They found a-relation, between smoothness, of a-material, and positive-emotions, of the-users, such-as: lively, modern, elegant, and comfortable. Roughness, on-the-other-hand, suggested negative-emotional-responses, such-as: depressing, traditional, ugly, and uncomfortable.

Moreover, in-this-preliminary-product-design, the-decisions, about-materials, were based-on just-few-parameters. In-addition, one of the-constraints was the-limited-availability of reasonably-priced and locally-available textile-materials, such-as appropriate-woven-fabrics. A-small-number of fabric-options, that was available, locally, had, hence, limited-the-study-choices. In the-detailed-design, therefore, the-suitability of the-selected-materials, to-be-made, in-depth, to-arrive at a-final/refined decision. This can-be done, for-example, via a-checklist by Pugh (1991), who defined, 32 aspects that need consideration, when specifying a-product-design. It-is referred-to as the-product-design-specification (PDS). Pugh (1991) also broadly-mentioned such-user-interaction-aspects as: ‘aesthetics’, ‘ergonomics’ and ‘customer’.

New/novelty-materials can-be also incorporated, in the-final-design. To-support product-designers with physical-materials, several-initiatives organize exhibitions, collections, and libraries of materials. For-example, the-agency ‘Inventables’(www.inventables.com); ‘Material ConneXion’-the-world’s leading knowledge-base on new and innovative-materials (www.materialconnection.com); ‘Innovatheque’ in-Paris, France (www.innovathequectba.com); and ‘Materia’ and the ‘Materialenbibliotheek’, both in the-Netherlands (www.materia.com, www.materialenbibliotheek.nl). Furthermore, universities and academies, offer material-collections and support to-designers. Examples are the ‘Technoheek’ of Poelman (2005) or the ‘Material biblioteket’ in Stockholm, Sweden (www.materialbiblioteket.se), among-others.

Furthermore, in-this-study, so-called *traditional*-testing/usability-inspection was undertaken on a-volunteer, who was also the-design-team-member, hence, strictly-speaking, such-testing cannot be, considered-as ‘use-ability-testing’, due to-possible-bias (even unintentional), that can-be-present in the-provided feedback/evaluation of the-device. Usability-testing usually-involves *systematic*-observation, under controlled-conditions, to-determine how-well people/real-users can use the-product (Jerz, 2000). In use-ability-studies, prototypes may assist in soliciting passive, or active-participation, from potential-users and other-stakeholders (Sanders & Stappers, 2008), besides more-innovative-designs may-be-generated, through the-discovery of otherwise unforeseen or hidden-problems/needs. Explorative-use-ability-testing, with *real*-users of the-product, is therefore recommended, to-provide far-more-accurate, more-intricate, and insightful-feedback.

On-the-other-hand, in-this *unfunded*-study, the-testing of the-prototype was limited-to *only* one-volunteer; a-focus-group, could, logically, give testing on a-larger-scale, providing more-broader-views and opinions, on faults and problems (if any), alongside with suggestions, for improvement. Regarding actual-sample-size, Jakob Nielsen declared, in the-early 1990s, that: “Elaborate usability tests are a waste of resources. The best results come from testing no more than five users and running as many small tests as you can afford” (Nielsen & Landauer, 1993). Nielsen has subsequently-published his-research, and coined the-term ‘*heuristic-evaluation*’. The-claim of ‘Five users is enough’ was also-described by a-mathematical-model (Virzi, 1992), which states for the-proportion of uncovered-problems -- U,

$$U=1- (1-p)^n$$

Where p is the-probability of one-subject identifying a-specific-problem; and
 n is the-number of subjects (or test-sessions).

It-is worth-noting, that Nielsen does *not* advocate stopping, after a-single-test with five-users; his-point is that testing-with-five-users, fixing the-problems, they uncover, and then, testing the-revised-site with five-different-users, is a-better-use of limited-resources, than running a-single-usability-test, with 10 users. In-practice, the-number of users, actually-tested, over the-course of the-project, can easily reach 50 to 100 people (England & Beale, 2008). This-approach was recommended.

6. Conclusion and Recommendations.

Overall, the-prototype, developed, was a-miniature-accomplishment; as this-*unfunded* preliminary-study resulted in an-affordable-anti-snoring-device, made from materials, available locally. Although the-device (fabricated-prototype) is, seemingly, functional, user-friendly, cost-effective, easy-to-fabricate, and made from readily-available-materials, further-trials, however, are necessary, to-improve it, and, then, to-evaluate the-long-term-efficacy of the-device. The-next-steps, hence, were identified, as to: (1) carry-out a-detailed design; (2) fabricate the-next/refined-prototype(s); (3) conduct use-ability-trials, in-collaboration with the-department of Medical-Engineering, School of Medicine, MU; and (4) Analyze the-marketing aspect of the-design.

Finally, Terstiege (2009) states that the-primary-strength, of an-early-prototype, is in its-incompleteness. Analogous-to Starovoytova & Njoroge (2016), the-author would-like-to-accentuate, that there-is absolutely-nothing, that can ever be-perfect, that is made, by man, especially when it-is at its-initial-stages; nevertheless,

the-author welcomes constructive-expert-criticism, and/or relevant suggestions, from the-readers.

7. Acknowledgment.

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