

# Descriptive Analysis of Functional Behavioral Assessments for Students Who Display Challenging Behaviors

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## Abstract

Functional behavioral assessment (FBA) has become an integral component in schools for addressing students' challenging behaviors within a school-wide positive behavior interventions and supports (PBIS) approach and under the individuals with disabilities education act (IDEA). There have been literally hundreds of studies conducted on various aspects of FBA including methodologies and participants; and many literature reviews have been undertaken. However, an unanswered question is how necessary and sufficient are complicated, multi-faceted FBA experimental procedures to determine function and an intervention for students who display challenging behaviors? Two previous reviews (Common et al., 2017; Maag, 2018) that focused on students with high incidence disabilities (e.g., learning disabilities, ADHD) or those at-risk had more included studies with students at-risk than with any disability or mental health condition at all. Therefore, the purpose of this review is to describe and analyze the need for educators to perform FBAs prior to developing an individual intensive intervention for students who display challenging behaviors but without a high incidence disability based on information from those two reviews.

**Keywords:** functional behavioral assessment, at-risk, challenging behaviors

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## 1. Introduction

Functional behavioral assessment (FBA) refers to a series of heuristic approaches for determining the purpose (i.e., source of environmental reinforcement) students' challenging behaviors serve. An important byproduct of an FBA is the development of a behavior intervention plan (BIP) that addresses the identified functions (Maag & Katsiyannis, 2006). It is believed that the most effective interventions implemented in school settings are those based on the purpose maladaptive behaviors serve (Ervin et al., 2001). Function-based BIPs may also result in improved generalization and maintenance of treatment gains and enhance the efficacy of existing interventions (Derby et al., 1997; Richman et al., 1997; Taylor & Miller, 1997). Finally, some researchers suggested that students may favor interventions based on FBAs because they address their everyday desires whose behavior is targeted (Hanely et al., 1997; Tarbox et al., 2009).

There are several circumstances under which FBAs are conducted. Under the 1997 Individuals with Disabilities Education Act (IDEA) amendments, FBAs must be conducted for behaviors that interfere with the learning environment for students with disabilities who are suspended for more than ten school days, when misconduct results in a manifestation determination, or when weapons, drugs, or serious bodily injury occurs (Katsiyannis & Maag, 2001). However, within school-wide positive behavior interventions and support (PBIS), FBAs also play a role at the tier 3 level for developing individual intensive interventions. In the case of addressing students' challenging behaviors, PBIS is arranged in three tiers using universal supports in that interventions are provided regardless of the presence or absence of disability. Tier 1, primary prevention, focuses on school-wide systems for all students, staff, and settings. Tier 2, secondary prevention, provides specialized group systems. Tier 3, tertiary prevention, provides intensive individual interventions, typically based on the results of a FBA. Approximately 80% of students who display inappropriate behaviors respond to tier 1 interventions with another 15% requiring additional interventions to behave appropriately with the remaining 5% displaying the most challenging behaviors requiring the intensive individual interventions (Simonsen et al., 2008).

Regardless of within a PBIS system for students displaying challenging behaviors or have high incidence disabilities, FBAs are not without criticisms. There is little consensus as to which techniques should be used to conduct FBAs and they tend to be mired in semantic variations regarding what constitutes required processes (Losinski et al., 2015; Scott et al., 2000; Stage et al., 2006). There are also difficulties conducting and implementing FBAs in school settings, reluctance of teachers to manipulate certain environmental variables for fear of increases in other behaviors, and the inability of teachers to control schedules of reinforcement (Conroy & Stichter, 2003; Lane, Kalberg, Bruhn, et al., 2009; Stichter et al., 2005; Walker & Sprague, 1999). Further, the FBA process may not result in reliable, valid, and durable information (Lane, Kalberg, & Shepcaro, 2009;

Nelson et al., 1999). Stage and Quiroz (1997) found interventions based on FBAs were less effective than group-oriented contingencies, self-management, and differential reinforcement.

Despite these concerns, there has been—and continues to be—a staggeringly large number of studies examining various aspects of FBA and many systematic reviews have been conducted focusing on various aspects of FBAs and student characteristics. Two of which are germane to the present analysis. Common et al. (2017) tested several recently developed methods for single-case design for 18 studies in which participants either had a high incidence disability or were at risk. Interestingly, of the 27 total participants 17 of them were categorized as “at-risk” but with no other disability label or diagnosis (63%). The meta-analysis Maag (2018) conducted was more inclusive, searching for studies from 1982 through 2017 and obtained 44 studies examining the effectiveness of FBAs also for students with high incidence disabilities or were “at-risk.” There were a total of 90 participants and 35 of them were considered “at-risk” (39%). Therefore, a substantial number of participants would not fall under the category of high incidence disabilities but rather those who are “at-risk.”

Why are so many students involved in these studies “at-risk” but are not identified as having any high-incidence (i.e., mild) disabilities? It would seem that part of the eligibility criteria for reviewers of this literature would only be students with high-incidence disabilities rather than “at-risk”—the latter of which would fall under the purview of one or more of the tiers under PBIS. Their behaviors would seemingly be less severe than those with a identified disability—especially those categorized as emotionally or behavioral disordered (EBD). Perhaps part of the answer involves the definition of “at-risk.” According to the *Psychology Dictionary Professional Reference*, the term “at-risk” means someone is vulnerable to an outcome, disorder, or disease (Pam, 2013). For example, someone who smokes is at-risk for developing lung cancer. For students “at-risk” that participate in the studies reviewed by Common et al. (2017) and Maag (2018) could display extreme challenging behaviors commensurate to those engaged in by students with a label of EBD. Or, perhaps students became participants in studies if they were nominated by their teachers as displaying challenging behaviors of which the frequency, duration, or intensity varied depending on the tolerance levels of a given teacher. Consequently, the term “at-risk” is very nebulous and provides no more information than simply saying students who display challenging behaviors but do not have a disability. A simple but functional definition for students with challenging behaviors are simply those who do not respond to traditional forms of discipline such as punitive exclusionary practices (Maag, 2018).

The second question is whether or not students “at-risk” require a FBA in order to determine the function of their maladaptive behavior and, subsequently, provide the information for developing a BIP. Therefore, the purpose of the current analysis is to examine studies with participants only “at-risk” from those reviewed by Common et al. (2017) and Maag (2018) to (a) determine the function their behaviors served, (b) the type of behaviors they displayed that required an FBA, and (c) whether or not the interventions were truly based on the function or simply evidence-based techniques that any teacher would (or should) learn in an applied behavior analysis (i.e., behavior management) course in college. Put another way, when it comes to FBAs for students who display challenging behaviors, how much is too much?

## 2. Method

Unlike systematic reviews that describe eligibility criteria, information sources, search phrases, and interrater reliability, the current descriptive analysis obtained studies from two recent previous reviews by Common et al. (2017) and Maag (2018). The reason was to examine and describe the characteristics of participants who were identified as “at-risk” to determine the necessity and feasibility of conducting FBAs and developing BIPs based on the data for this group. Common and colleagues reviewed 18 studies across 28 participants. The systematic review Maag conducted contained 24 studies and 48 participants. Eight of the 18 studies reviewed by Common and colleagues were omitted from the present review. The reason was because this particular review did not specify objective dependent variables (i.e., target behaviors), preferring instead to use terms such as “off-task,” “disruptive behavior,” “nonengagement,” and “noncompliance.” Further, these reviewers only coded for replacement behaviors that were trained but not any specific environmental (e.g., antecedent and consequent) interventions. The remaining 10 studies were mutual to both reviews.

Similarly, the 24 studies in the Maag (2018) review had already been coded (participant characteristics, disability/diagnosis/at-risk, design features, dependent variables, obtained function, and intervention developed from the FBA) and it was not necessary to repeat all of that information here. Rather, Table 1 contains only the authors of the 24 studies, dependent variables (i.e., target behaviors), and interventions since those variables were the prime ones for drawing conclusions of the necessity and sufficiency of conducting FBAs for students at risk.

## 3. Results

Results will first be described in terms of the characteristics of the participants and setting. Second, the types of independent variables and identified functions will be presented. Finally, the interventions used in the studies

will be summarized.

### *3.1 Characteristics of the Participants and Setting*

A total of 48 participants (38 males and 10 females) were included in the 24 studies contained in this descriptive analysis. The youngest participant was five years old (Carter & Horner, 2009) while the oldest was 15 years of age (Patterson, 2009). The average age for males was eight years and nine years for females. There were four studies for a total of 8 participants that only reported grade level and not age (Christensen et al., 2012; Dejager & Filter, 2015; Hansen et al., 2014; Lane et al., 2006). Because only studies were included for students “at-risk,” all participants were in general education classrooms. Most studies took place during independent practice activities such as paper-and-pencil worksheets typically for the content of mathematics.

### *3.2 Dependent Variables and Identified Function*

The majority of studies targeted between three to five dependent variables. The three most commonly targeted behaviors were talking to others, being out of seat/walking around, and not following directions/noncompliance. For the most part, included studies defined target behaviors objectively (e.g., physical aggression, talking out, yelling, arguing, destruction of property, poking peers, kicking, and making animal noises). As could be anticipated given that participants were only “at-risk” (i.e., displaying challenging behaviors), the only two identified functions were positive reinforcement and negative reinforcement. All studies except for one had attention as the positive reinforcement function with one serving as access to tangible objects (e.g., LeGray et al., 2010). The negative reinforcement function was escape from activities/tasks, although there may have been some participants who misbehaved to avoid tasks even though avoidance is technically different from escape conditioning (i.e., negative reinforcement). Although FBA techniques for determining participants’ function varied, some used quite elaborate techniques only to find that the identified function was escape from completing a math worksheet or peer and adult attention (e.g., Lane, Smither, et al., 2007).

### *3.3 FBA Developed Interventions*

A variety of interventions were developed from the FBAs. Some studies had multi-component interventions while others used one component interventions such as differential reinforcement of other behavior. The most common intervention components were teaching replacement behaviors, contingent attention, extinction, differential negative reinforcement of alternative behavior (DNRA), self-monitoring, and rearranging antecedent cues for appropriate behavior occurring instead of inappropriate behavior. From the descriptions of the interventions, quite elaborate and complicated techniques were used to determine whether problems behaviors displayed by students during academic-related activities were maintained by either attention or escape.

## **4. Discussion**

The present article reviewed the literature on the use of FBAs with students in kindergarten through 12<sup>th</sup> grade who displayed challenging behaviors in their general education classroom. The purpose was to determine the necessity and sufficiency of FBAs for these students during classroom academic lessons or activities. Another purpose was to determine what level of FBA intensity would be required to simply determine students misbehave during paper-and-pencil independent practice activities typically during math lessons was either escape or attention.

Several conclusions can be reached from the current analysis. First, these students with challenging behaviors were mostly elementary school males with a mean age of approximately eight years which would place them in or around the third grade. Second, most of the studies were during routine classroom academic lessons, activities, or tasks. Third, the identified functions, except in one case, were either attention or escape and quite elaborate and complicated techniques were used to make these determinations, sometimes for only one participant. Fourth, the most typical types of behaviors targeted fell under the category of defiance and refusal to follow directions which is easy to see since many students want to escape work that they perceive as too easy or difficult or for attention to distract from a boring task. Fifth, most interventions based on the FBAs were teaching replacement behaviors, positive reinforcement for appropriate behaviors and extinction for inappropriate behaviors, rearranging antecedents, DNRA (e.g., giving students whose behaviors were maintained by escape breaks for task completion), and self-monitoring. These are all easy interventions to use with very little consultative guidance.

### *4.1 Students with Challenging Behaviors*

Students who display challenging behaviors usually do so during classroom activities, tasks, or lessons. The reason is to either escape a task that is too easy, difficult, or boring (Maag, 2018). In the case of assignments being perceived as boring or irrelevant—whether during group instruction or independent paper-and-pencil worksheets—these students also may misbehave to get attention from peers and/or teachers. This attention is

stimulating and helps alleviate some of the boredom. Hence, it should come as no surprise that the main functions for their challenging behaviors was either escape or attention. How difficult would it be to determine whether or not a student was misbehaving to escape academic activities? A teacher would know the types of behaviors which are common and reoccurring, have the environment pinpointed (i.e., end of lesson independent practice paper-and-pencil worksheets), and should know the skill proficiency of each student. Further, given that by their very nature schools place demands on students and those with challenging behaviors often find those demands unpleasant, simple behavior management techniques should be in place to offset students displaying challenging behaviors.

#### *4.2 Determining Function: Overkill?*

Given the nature of the previous statements, it is difficult to understand why extremely complicated and multi-faceted FBA methods were used in the studies reviewed simply for determining whether the function for misbehavior was either attention or escape. For example, in a study not reviewed here, Clarke et al. (1995) described using direct observation of antecedent and consequent stimuli, interviews with teachers and other adults, and discussions directly conducted with the students to determine misbehavior functioned as escape. Even more elaborate FBA procedures were used by Lane, Smither, et al. (2007) for one participant to determine the function of his behavior was peer and adult attention: (a) *Preliminary Functional Assessment Survey*, (b) functional assessment interview with the student, (c) 10 hours of direct observation using an A-B-C approach, (d) teacher completed *Motivation Assessment Scale*, (e) teacher version of the *Social Skills Rating System*, and (f) the *School Archival Record Search*. These data were then analyzed using a functional assessment matrix. It is difficult to imagine school personnel—even school psychologists—would have the expertise and time to engage in all these activities for one student. Consequently, the process would have low social validity—a term that addresses whether a relevant audience (e.g., educators/teachers) find interventions in real-life settings to be acceptable in terms of their goals, methods, personnel, outcomes, and ease of implementation into a teacher's current environment and responsibilities (Schwartz & Baer, 1991).

Even more streamline procedures such as interviewing teachers and direct observation to obtain the function of escape during individual pencil-paper worksheet activities are time-consuming and perhaps unnecessary. For example, a teacher could conduct a very simple manipulation for a student who is misbehaving during a 10 minute session of writing answers on a math worksheet by telling him that his worksheet was to draw anything he wants (i.e., high-interest activity). If the student draws and does not misbehave during the 10 minutes, escape can be presumed to be the controlling function. Of course, additional assessment would be required (e.g., curriculum-based measurement) to determine what aspects of the math worksheet the student finds unpleasant enough to escape (e.g., work is too easy, hard, or boring), but the initial determination of escape is quite easily and quickly made (Maag & Kemp, 2003). Conversely, if attention is the suspected function, a teacher can simply ignore a student when he is misbehaving and verbally praise him for displaying appropriate behaviors. It is quite a simple process: extinguish the bad behavior and reinforce the good behavior.

#### *4.3 What is the Difference Between Function-Based Versus Non-Function Based Interventions?*

In the first paragraph of this article, several researchers were cited indicating that function-based interventions (i.e., those based on a FBA) were more effective than non-function-based interventions because they may result in improved generalization and maintenance and hypothesized that students would like them better. But is that claim really true? Also earlier I wrote how Stage and Quiroz (1997) found FBA-based intervention were less effective than behavioral techniques such as positive reinforcement being delivered through group-oriented contingencies, self-management techniques (e.g., self-monitoring, self-evaluation, self-reinforcement) and differential reinforcement which is, essentially, withholding reinforcement for inappropriate behavior and administering it for appropriate behavior. Not coincidentally, the “non-function” based techniques of self-management with differential reinforcement were also listed as interventions used in the studies reviewed here as being “function-based.” This begs the question: Is there really a difference between function-based and non-function-based interventions? The four studies that compared the two types of interventions and concluded that function-based interventions were superior may shed some light on this supposed issue.

Ellingson et al. (2000) examined these two types of interventions on three participants whose inappropriate behaviors were maintained by attention. Function-based interventions included providing participants with non-contingent attention, positive reinforcement for appropriate behavior, and removal of attention when participants engaged in inappropriate behaviors. The non-function-based interventions were based on generating alternative hypotheses of sensory reinforcement and escape: sensory masking for a participant who pounded on her desk, verbal or physical prompt to continuing in the academic task for the second participant, and physically assisting a participant to comply with given instructions. The development and selection of these “non-function-based” interventions is puzzling. The authors took great lengths to determine the correct function for all three participants was attention. Formulating alternative hypotheses that were originally rejected as the identified

functions just to develop and prove “non-function” based interventions were inferior seems illogical.

Filter and Horner (2009) identified escape as the controlling function for two participants. The non-function-based interventions were selected based on their success appearing in published journals. For one participant time-out was implemented contingent upon misbehavior and providing encouragement to the other participant when he exhibited inappropriate behavior. There seems to be two problematic issues. First, if one is going to suppress inappropriate behavior with extinction, then positive reinforcement should also be administered for displaying appropriate behavior. Second, why would one want to provide encouragement (i.e., attention) when a student is misbehaving regardless of what function it served?

The last two studies seemed to incorporate more logical non-function-based interventions. Ingram et al. (2005) had two participants whose problem behaviors function as escape. For the first participant the function-based and non-function-based interventions were similar in three ways: teacher pre-correcting for appropriate behavior, receiving tokens for displaying appropriate behavior, and self-monitoring on-task behaviors. The only difference in the non-function-based intervention was that the participant did not receive breaks when requesting them. The function-based intervention for the second participant included pre-correcting appropriate behavior, asking him whether he had taken his medication (for ADHD) and, if not, giving him breaks, and self-monitoring and contingently shortening tasks for engaging in appropriate behavior. The non-function-based intervention was asking him if he took his medication and, if not, calling his mother to bring it to school, pre-correcting appropriate behavior, tokens for appropriate behaviors, and self-monitoring attention but did not including giving him breaks nor shortening tasks for appropriate behavior. Three participants were included in the Newcomer and Lewis (2004) study, two whose inappropriate behaviors functioned as escape and the third whose behavior function as adult attention. Non-function-based interventions included providing reinforcement compatible with the school-wide reinforcement system and a dependent group-oriented contingency for one participant, a cue-and-prompt procedure for the second participant, and for the last participant teaching her respectful behavior and incorporating cooperative learning strategies.

Several issues become clear from examining these studies. First, in two cases the non-function-based interventions were nonsensical. Second, all the legitimate non-function-based techniques have all been used as function-based interventions in certain instances and vice-versa. Third, function-based interventions such as self-monitoring and contingent reinforcement have been found to be effective for decades regardless of whether function was considered or not and across academic domains (Hallahan et al., 1979; Reid, 1996). In summary, all evidence-based interventions such as self-monitoring, behavior specific praise, high-probability request sequences (high-*p*), differential reinforcement of other behavior (DRO), DNRA (being able to escape the second part of a task contingent upon meeting a performance criterion on the first part), and all forms of delivering positive reinforcement such as token economies, behavioral contracts, group-oriented contingencies such as the Good Behavior Game, and novel applications such as chart moves are all function-based and non-function based interventions. Further, the distinction between whether a student’s maladaptive behaviors function as attention or escape may be the least important aspect of choosing an intervention.

## 5. Conclusion

There is no question that FBAs and interventions created from them are an essential component in the education and treatment of individuals with moderate to severe disabilities. However, there is no consensus, and even disagreement, that they are not proven nor maybe even necessary when applied to students not identified as having a disability but nevertheless display challenging behaviors. Further, whether an intervention is considered function-based or non-function-based is more academic than practical. For example, if a student is misbehaving to obtain teacher attention, a “function-based” intervention would be for the teacher to ignore the student when he is misbehaving and provide him with attention when he is behaving appropriately. “Catching students being good” and ignoring them when they are bad is a basic behavior management philosophy—as well as technique—that all teachers should be following and practicing continuously.

Perhaps the most productive approach would be to begin by implementing a low-intensity intervention (i.e., one with high social validity) while also having class-wide reinforcement contingencies in place such as the Good Behavior Game, using weekly raffles and lotteries, or versions of the compliance matrix (Maag, 2017). One way to minimize students wanting to escape a task or lesson is to make the classroom a more positive and desirable place in which to stay. Class-wide behavior management approaches go a long way for increasing the likelihood of this goal occurring. Hence the reason why future research should focus on the bare minimum FBA techniques required for children who display challenging behaviors. There has been a plethora of research on complicated approaches, but not as much examining the minimal required to be effective and comparing the relative effectiveness between the two.

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\*indicates study included in the current review.



Table 1. Characteristics of studies

Study	Dependent variables	Intervention
1. Bessett & Wills (2007)	Inappropriate verbalizations; physical aggression	Replacement behavior (i.e., raise hand for attention; ask for a break for escape)
2. Campbell & Anderson (2008)	Noncompliance, disruption, negative verbal/physical interaction, out of seat	Check-in/Check-out with contingent morning lunchroom seating choice
3. Carter & Horner (2009)	Talk-outs-disruption; out of seat; noncompliance-defiance; confrontation-aggression	First Step to Success; decreased peer attention for problem behavior, increased peer & teacher attention for appropriate behaviors; modifying work & preferred activity for escape
4. Christensen et al. (2012)	Yelling; talking; out of seat; playing with items; engaging in non-task related activities	Contingency adjustment; social skills training; positive reinforcement; negative reinforcement
5. Dejager & Filter (2015)	Off-task; arguing; blurting out; disruptive verbalizations	Physical boundary identification & visual cues/prompts; replacement behavior training; noncontingent reinforcement; breaks from tasks;
6. Ellis & Magee (1999)	Physical aggression; yelling; tantrums; property destruction; refusal to follow instructions	Task alteration (e.g., shortening work assignments); novel materials; escape extinction, academic tutoring, token reinforcement
7. Grandy & Peck (1997)	Poking peers; kicking his feet; out of seat; talking	Self-monitoring & adult contingent attention
8. Hansen et al. (2014)	Talking to others; making noises; inappropriate motor movements	Self-monitoring; contingent teacher attention
9. Hoff et al. (2005)	Talking to peers; making animal noises; making faces; touching peers; throwing materials; out of seat	Preferred materials and contingent peer attention (i.e., sitting close to preferred peers)
10. Kamps et al. (2006)	Out of seat; arguing; taunting, name calling; noncompliance; aggression (e.g., hitting, kicking, pushing, throwing objects)	Increased contingent teacher praise; ignoring inappropriate behaviors; self-monitoring
11. Lane et al. (2006)	Talking to peers; out of seat; blurting out; playing with materials;	Teach & prompt occurrence of replacement behaviors; providing compartment to place materials; checklist addressing behavioral goals; positive reinforcement
12. Lane, Smither et al. (2007)	Hitting or kicking peers; noncompliance; out of seat; talking to peers; making faces; touching objects	Self-monitoring; differential reinforcement; positive scanning (e.g., positive teacher note to student)
13. Lane, Weisenbach et al. (2007)	Talking to peers; making negative statements; rolling eyes; out of assigned area; blurting out	Replacement behavior training; changing seating arrangement; prompt cards; contingent reinforcement; altered contingencies; DNRA.
14. LeGray et al. (2010)	Inappropriate vocalizations	Differential reinforcement of other behavior (DRO); differential reinforcement of a functional alternative (DRA)
15. Lewis & Sugai (1996)	Walking around; playing with peers during lessons; refusal to follow teacher directions	Requiring participant to remain on-task longer periods of time using DRI, DRI + peer attention; peer tutoring
16. Moore et al. (2005)	Looking around the room; failure to follow teacher directions; giggling; talking; out of seat; touching others	Reducing task duration; modifying assignments (e.g., 3 worksheets of 5 problems instead of 1 worksheet of 15 problems)
17. Newcomer & Lewis (2004)	Aggression; off-task; refusal to following directions; challenging teachers	Contingent reinforcement; extinction; change task difficulty; altering antecedents
18. Packenham et al. (2004)	Looking at other students; fidgeting with objects, talking to peers, out of seat, laughing	Contingent teacher attention for appropriate behavior; teacher spent 1 -2 min. talking with student; provide explicit instructions; shorten lessons; positive reinforcement

Study	Dependent variables	Intervention
19. Patterson (2009)	Out of seat walking around the room	Teacher spending 2 min. talking with student prior to lesson
20. Payne et al. (2007)	Talking or gesturing to peer; looking away from teacher or academic materials; refusal to following directions; manipulating objects	Negative reinforcement (e.g., earn a break for task completion); spend time with a peer contingent on task completion; contingent reinforcement
21. Sanford & Horner (2012)	Talking out; out of seat; playing with objects; refusing to follow directions; hair pulling	Instruction-level reading placement
22. Shumate & Wills (2010)	Arguing, taunting, name calling, & singing; pencil tapping; talking to peers; out of seat	DRO & DRA
23. Storey et al. (1994)	Talking out; touching others; throwing objects; taunting peers	Contingent teacher attention for appropriate behavior; self-monitoring
24. Trussell et al. (2016)	Talking & blurting out; throwing objects; hitting peers; playing with objects; crying; sexually explicit language	Teaching replacement behaviors; social skills training; contingent attention; ignore student for inappropriate behavior; repositioning desks; providing breaks