

# ON QUASI-INVERTIBILITY AND QUASI-SIMILARITY OF OPERATORS IN HILBERT SPACES.

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## ABSTRACT

It is a well known fact in operator Theory that if  $A$  and  $B$  are operators with at least one of them invertible then  $AB$  and  $BA$  are similar operators. In this paper we prove an analogous result about quasi-invertible operators  $A$  and  $B$ . We thus show that if  $A$  and  $B$  are quasi-invertible then  $AB$  and  $BA$  are quasi-similar. We also deduce a number of corollaries about spectra and essential spectra of  $AB$  and  $BA$ .

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## 1. INTRODUCTION

Let  $H$  be a complex Hilbert space and  $B(H)$  denote the Banach algebra of all bounded linear operators on  $H$ . An operator  $A \in B(H)$  is said to be quasi-invertible if  $A$  is both one-one and has dense range. Equivalently  $A$  is quasi-invertible if it is a quasiaffinity. Operators  $A$  and  $B$  are said to be similar if there exist an invertible operator  $S$  such that  $AS=SB$ , while  $A$  and  $B$  are said to be quasisimilar if there exist quasi-invertible operators  $X$  and  $Y$  such that

$$AX = XB \text{ and } BY = YA.$$

The concept of quasisimilarity particularly with respect to equality of spectra has been studied by a number of authors among them W.C Clary [1] who showed that quasisimilar hyponormal operators have equal spectra J.M Khalagai and B. Nyamai [5] showed that if  $A$  and  $B$  are quasisimilar operators with  $A$  dominant and  $B^*$  is M-hyponormal then  $A$  and  $B$  have same spectra. J.P. William [6] and [7] showed that there are several cases which imply that  $A$  and  $B$  have equal essential spectra. For example if  $A$  and  $B$  are both hyponormal operators or are both partial isometries or quasinormal operators etc. B.P. Duggal [3] proved that if  $A_i$   $i=1,2$  are quasisimilar  $p$ -hyponormal operators such that  $U_i$  is unitary in the polar decomposition  $A_i=U_i | A_i |$ , then  $A_1$  and  $A_2$  have same spectra and also same essential spectra. In this paper we deduce a numbers of results in this direction concerning the operators  $AB$  and  $BA$ .

## 2. NOTATION AND TERMINOLOGY

Given an operator  $A \in B(H)$  we denote the numerical range of  $A$  by  $W(A)$ .

$$\text{Thus } W(A) = \{ \langle Ax, x \rangle : \|x\| = 1 \}$$

The spectrum of  $A$  is denoted by  $\sigma(A)$ . Thus  $\sigma(A) = \{ \lambda \in \mathbb{C} : A - \lambda I \text{ is not invertible} \}$  where  $\mathbb{C}$  is the field of complex numbers. The commutator of  $A$  and  $B$  is denoted by  $[A,B]$  where

$$[A,B] = AB - BA$$

An operator  $A$  is said to be dominant, if to each  $\lambda \in \mathbb{C}$  there corresponds a number  $M_\lambda \geq 1$  such that

$$\| (A-\lambda)^*x \| \leq M_\lambda \| (A-\lambda)x \| \quad \forall x \in H$$

M-hyponormal, if  $\exists M \geq M_\lambda$  for all  $\lambda$  in the definition of dominant operator.

Hyponormal, if  $A^*A \geq AA^*$

quasinormal if  $[A^*A, A] = 0$

p – hyponormal if  $(A^*A)^p \geq (AA^*)^p$  for  $0 < p \leq 1$

Self adjoint if  $A = A^*$

normal if  $[A, A^*] = 0$

Partial isometry if  $A = AA^*A$

Isometry if  $A^*A = I$

Unitary if  $A^*A = AA^* = I$

Fredholm if its range denoted by  $\text{ran } A$  is closed and both null space,  $\ker A$  and  $\text{Ker } A^*$  are finite dimensional.

The essential spectrum of  $A$  is denoted by  $\sigma_e(A) = \{\lambda \in \mathbb{C} : A - \lambda I \text{ is not Fredholm}\}$ .

The following operator inclusions are proper:

Normal  $\subset$  hyponormal  $\subset$  p-hyponormal and  
 Hyponormal  $\subset$  M-hyponormal  $\subset$  dominant

### 3. **RESULTS**

#### **Theorem 1**

Let  $A, B \in B(H)$  be quasi-Invertible.  
 Then  $AB$  and  $BA$  are quasisimilar.

#### **Proof**

We first note that in the equations:

$$(AB)A = A(BA)$$

and

$$(BA)B = B(AB)$$

We let  $T = AB$  and  $S = BA$

Thus we have

$$TA = AS$$

and

$$SB = BT$$

Now  $A$  and  $B$  are quasi-invertible implies  $T$  and  $S$  are quasisimilar. Hence  $AB$  and  $BA$  are quasisimilar.

We note that in view of the results in [1], [3], [5], [6] and [7] the following corollaries are immediate.

#### **Corollary 1**

Let  $A, B \in B(H)$ , be quasi-invertible.

Then  $\sigma(AB) = \sigma(BA)$

Under any one of the following conditions:

- (i)  $AB$  and  $BA$  are hyponormal
- (ii)  $AB$  is dominant and  $(BA)^*$  is M-hyponormal.
- (iii)  $AB$  and  $BA$  are p-hyponormal with  $U$  and  $V$  unitary in the polar decomposition  $AB = U |AB|$  and  $BA = V |BA|$ .

#### **Corollary 2**

Let  $A, B \in B(H)$  be quasi-invertible. Then  $\sigma_e(AB) = \sigma_e(BA)$  under any one of the following conditions:

- (i)  $AB$  and  $BA$  are quasinormal.
- (ii)  $AB$  and  $BA$  are hyponormal with either  $A$  or  $B$  compact.
- (iii)  $AB$  and  $BA$  are  $p$ -hyponormal with  $U$  and  $V$  unitary in the polar decomposition  $AB = U |AB|$  and  $BA = V |BA|$ .

**Corollary 3**

If  $A \in B(H)$  is quasi-invertible then we have that

$$\sigma(AA^*) = \sigma(A^*A) \quad \text{and} \quad \sigma_e(AA^*) = \sigma_e(A^*A)$$

**Proof**

We first note that if  $A$  is quasi-invertible then  $A^*$  is also quasi-invertible. Hence by theorem 1 above  $AA^*$  and  $A^*A$  are quasi-similar. But  $AA^* \geq 0$  and  $A^*A \geq 0$ . Hence by part (i) of Corollary 1 and part (i) of Corollary 2 above we have respectively that

$$\sigma(AA^*) = \sigma(A^*A)$$

and

$$\sigma_e(AA^*) = \sigma_e(A^*A)$$

For an operator  $B \in B(H)$ , we say that  $B$  is consistent in invertibility (with respect to multiplication) or briefly that  $B$  is a CI operator if for each  $A \in B(H)$ ,  $AB$  and  $BA$  are invertible or non-invertible together. Thus  $B$  is a CI operator if  $\sigma(AB) = \sigma(BA)$ . It is well known result that if  $B$  is invertible then for any  $A \in B(H)$  we have  $AB = B^{-1}(BA)B$ . Thus  $AB$  and  $BA$  are similar operators and hence  $\sigma(AB) = \sigma(BA)$ . W. Gong and D. Han [4] proved among other results that an operator

$B \in B(H)$  is CI operator iff

$$\sigma(B^*B) = \sigma(BB^*)$$

We use this result to deduce a number of results on CI operators. Firstly the following corollary provides an alternative proof to corollary 1.3 of [4].

**Corollary 4**

Let  $B$  be quasi-invertible.

Then  $B$  is a CI operator.

**Proof**

We note from corollary 3 above that since  $B$  is quasi-invertible we have that

$$\sigma(B^*B) = \sigma(BB^*)$$

Hence  $B$  is a CI operator.

**Corollary 5**

Let  $B \in B(H)$  be such that  $0 \notin W(B)$ . Then both  $B^*$  and  $B$  are CI operators.

**Proof**

We first note that if  $0 \notin W(B)$  then both  $B$  and  $B^*$  are quasi-invertible.

Hence by corollary 4 above  $B$  and  $B^*$  are CI operators.

**Theorem 2**

If  $B$  is an  $M$ -hyponormal operator satisfying the equation

$$BX = XB^*$$

Where  $X$  is quasi-invertible then  $B$  is a CI operator.

***Proof***

Since  $B$  is  $M$ -hyponormal

$$BX = XB^* \quad \text{implies}$$

$$B^*X = XB$$

Taking adjoints we have:

$$BX^* = X^*B^* \quad \text{and} \quad B^*X^* = X^*B$$

Now using the equations above we have:

$$B^*BX = B^*X B^* = XBB^* \quad \text{and} \quad BB^*X^* = B X^* B = X^*B^*B$$

i.e  $BB^*$  and  $B^*B$  are quasi-similar since  $X^*$  is also quasi-invertible.

Thus  $\sigma(BB^*) = \sigma(B^*B)$  implying  $B$  is a CI operator.

**Corollary 6**

If an  $M$ -hyponormal operator  $B$  is quasi-similar to its adjoint  $B^*$  then  $B$  is a CI operator.

**Proof**

In this case there exist quasi-invertible operators  $X$  and  $Y$  such that

$$BX = XB^* \quad \text{and} \quad B^*Y = YB$$

Thus the proof is immediate by theorem 2

The following result due to Duggal [2] is required in the proof of our next theorem.

**Theorem P**

Let  $A: H_1 \rightarrow H_1$ ,  $B: H_2 \rightarrow H_2$  and

$X: H_2 \rightarrow H_1$  be operators such that

$$AX = XB$$

Where  $H_1$  and  $H_2$  are Hilbert spaces.

If  $A$  is dominant and  $B^*$  is  $M$ -hyponormal then

$$A^*X = XB^*$$

**Theorem 3**

Let  $A, B, X \in B(H)$  be such that

$BX = XA$ , where  $B$  is dominant,  $A^*$  is  $M$ -hyponormal and  $X$  is quasi-invertible. If  $B$  is a CI operator, then  $A$  is also a CI operator.

**Proof**

In this case,

$BX = XA$  implies  $B^*X = XA^*$  Taking adjoints we also have:  $A^*X^* = X^*B^*$

and

$$AX^* = X^*B$$

Now using these equations we have

$$B^*BX = B^*XA = XA^*A$$

and

$$A^*AX^* = A^*X^*B = X^*B^*B$$

i.e  $B^*B$  and  $A^*A$  are quasi-similar and hence

$$\sigma(B^*B) = \sigma(A^*A)$$

Similarly we have that

$$BB^*X = BXA^* = XAA^*$$

and

$$AA^*X^* = AX^*B^* = X^*BB^*$$

i.e  $BB^*$  and  $AA^*$  are quasisimilar and hence

$$\sigma(BB^*) = \sigma(AA^*)$$

Now if  $B$  is a CI operator then we have that

$$\sigma(B^*B) = \sigma(BB^*) = \sigma(AA^*) = \sigma(A^*A)$$

Hence  $A$  is also a CI operator.

**Corollary 7**

If a dominant operator  $B$  is quasi similar to any operator  $A$  with  $A^*$   $M$ -hyponormal, then

$B$  is a CI operator implies  $A$  is also a CI operator.

### **Proof**

In this case, there exist quasi-invertible operators  $X$  and  $Y$  such that

$$BX = XA \text{ and } AY = YB$$

The proof of theorem 3 above can now be traced to give the result.

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