

ON THE APPLICATION OF ALGEBRAIC CODING THEORY TO THE IDEALS OF THE POLYNOMIAL RING $F_2^N[X] / \langle X^N - 1 \rangle$ Olege Fanuel ¹

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Abstract

The polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ has generated a lot of research in recent times especially because it is a generator of binary codes used in computer application. In this paper, properties of this ring are outlined and application of algebraic coding theory to its ideals discussed.

Mathematics Subject Classification: [2010] Primary 20K30; Secondary 16P10. **Keywords:** Polynomial Ring, Ideals, generator, irreducible, prime, code.

1 Introduction

1.1 Background information

Definition 1.1. [6]

Let $F_2^n[x]/\langle x^n - 1 \rangle$ be a commutative ring with unity and let $g \in F_2^n[x]/\langle x^n - 1 \rangle$. The set $\langle g \rangle = \{rg \mid r \in F_2^n[x]/\langle x^n - 1 \rangle\}$ is an ideal of $F_2^n[x]/\langle x^n - 1 \rangle$ called the principal ideal generated by g . The element g is the generator of the principal ideal.

So, I is a principal ideal of a commutative ring $F_2^n[x]/\langle x^n - 1 \rangle$ with unity if there exists $g \in I$ such that for all $g \in I$ we have $rg \in F_2^n[x]/\langle x^n - 1 \rangle$ for some $r \in F_2^n[x]/\langle x^n - 1 \rangle$.

In a Principal Ideal Domain every ideal is principal. If \mathbb{F} is a field then every ideal I in \mathbb{F} is a principal ideal. If a polynomial ring $F[x]/\langle x^n - 1 \rangle$ is irreducible over \mathbb{F} then $F[x]/\langle x^n - 1 \rangle$ becomes a field. According to Ronald, *etal* [5], given some \mathbb{Z} -basis of an ideal we should be able to find a sufficiently shorter generator g which is not necessarily g itself.

2 Results

Proposition 2.1. *Let I be a maximal ideal over the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$. The following statements are equivalent:*

- (i) I is Noetherian.
- (ii) Every chain of subsets $(I_0) \subseteq (I_1) \subseteq (I_2) \subseteq \dots \subseteq (I_n)$ stabilizes at some I_n .
- (iii) Every non-empty collection of subsets of I has a maximal ideal.

Proof

(i) \Rightarrow (ii). Let I be Noetherian. Then we have the chain $(I_0) \subseteq (I_1) \subseteq (I_2) \subseteq \dots \subseteq (I_n)$. We can write $I' = \bigcup I_i \subset I$ which is finitely generated since I is Noetherian. Let the generator elements be I_1, I_2, \dots, I_n . Each of these elements is contained in the union of I_n . Therefore $I' \subset I_n$ hence $I_n = I'$

(ii) \Rightarrow (i). Assume that the ascending chain condition exists. Let $I' \subset I_n$ be any subset of I . Define a chain of subsets $(I_0) \subseteq (I_1) \subseteq (I_2) \subseteq \dots \subseteq (I')$ as follows; $I_0 = \{0\}$. Let $I_{n+1} = I_n + x(F_2^n[x]/\langle x^n - 1 \rangle)$ for some $x \in (I' - I_n)$ if such an x exists. Suppose such an x does not exist take $I_{n+1} = I_n$. Clearly $I_0 = \{0\}$, I_1 is generated by some non-zero element of I' , I_2 is I_1 with some element of I' not in I_1 until the chain stabilizes. By construction we have an ascending chain which stabilizes at some finite point by ascending chain condition. Hence I' is generated by n elements since $I' = I_n$.

(i) \Rightarrow (iii). If I is Noetherian then it has a maximal ideal. To see this let P be a set of all the proper ideals in the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ containing I_p where I_p is any proper ideal in this ring. Already we know that $P \neq \emptyset$ since $I_p \in P$. Since $F_2^n[x]/\langle x^n - 1 \rangle$ is Noetherian the maximum condition gives a maximal element $I \in P$. We should show that I is a maximal ideal in $F_2^n[x]/\langle x^n - 1 \rangle$. Suppose there is a proper ideal J with $I \subseteq J$. Then

$I_P \subseteq J$ and hence $J \in P$. Therefore maximality of I gives $I = J$ and so I is a maximal ideal in $F_2^n[x]/\langle x^n - 1 \rangle$.

(ii) \Rightarrow (iii). If (iii) is false there is a non-empty subset S of $F_2^n[x]/\langle x^n - 1 \rangle$ with no maximal element and inductively we can construct a non-terminating strictly increasing chain in S . (iii) \Rightarrow (ii). The set $\{x_{(m)} : m \geq 1\}$ has a maximal element which is I . \square

Proposition 2.2. $F_2^n[x]/\langle x^n - 1 \rangle$ is a Unique Factorization Domain.

Proof

Let $t \in F_2^n[x]/\langle x^n - 1 \rangle$. Then t is irreducible if and only if t is prime. We have to show the following two claims:

- (i) if t is prime then t is irreducible.
- (ii) if t is irreducible then t is prime.

For claim (i) suppose that t is prime and $t = uv$, for all $t, u, v \in F_2^n[x]/\langle x^n - 1 \rangle$. We should prove that either u or v is a unit. Using the definition of prime, t divides either u or v . Suppose t divides u then we have $u = tw \Rightarrow u = uvw \Rightarrow u(1 - vw) = 0 \Rightarrow vw = 1$, for all $t, u, v \in F_2^n[x]/\langle x^n - 1 \rangle$ and some $w \in F_2^n[x]/\langle x^n - 1 \rangle$. Since $F_2^n[x]/\langle x^n - 1 \rangle$ is an integral domain v is a unit. This same argument holds if we assume t divides v , thus t is irreducible. For claim (ii) let t be irreducible and t divides uv . Then $uv = tw$ for some $w \in F_2^n[x]/\langle x^n - 1 \rangle$. By property of unique factorization domain, we decompose t, u, v into products of irreducible elements, say (t_i, u_i, v_i) upto the units (a, b, c) . Hence $a \cdot t_1 \dots a \cdot t_n = b \cdot u_1 \dots u_n = c \cdot v_1 \dots v_n$. This factorization is unique and therefore t must be associated to some u_i or v_i implying that t divides u or v . \square

Example 2.1. Consider the ideals corresponding to the polynomial ring $F_2^7[x]/\langle x^7 - 1 \rangle$. We have:

- $I_1 = 0$
- $I_2 = 1$
- $I_3 = x + 1$
- $I_4 = x^3 + x + 1$
- $I_5 = x^3 + x^2 + 1$
- $I_6 = x^4 + x^3 + x^2 + 1$
- $I_7 = x^4 + x^2 + x + 1$
- $I_8 = x^6 + x^5 + x^4 + x^3 + x^2 + x + 1$

where each of the I_i 's ($i = 1, 2, 3, \dots, 8$) is a principal ideal of this ring. We then have the chain:

$$(I_1) \subseteq (I_2) \subseteq (I_3) \subseteq (I_4) \subseteq (I_5) \subseteq (I_6) \subseteq (I_7) \subseteq (I_8)$$

Generally, for any polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ we can develop the chain $(I_1) \subseteq (I_2) \subseteq (I_3) \subseteq \dots \subseteq (I_j)$ where j is the total number of principal ideals in the candidate polynomial ring hence $I_{i+1} \mid I_i$, for all $I_i \in F_2^n[x]/\langle x^n - 1 \rangle$. The prime factors of I_{i+1} contain prime factors of I_i . Already I_j has a unique factorization into many finite prime factors which end up being the same and so the chain stabilizes or terminates.

By Proposition 2.1 and 2.2 the ring $F_2^n[x]/\langle x^n - 1 \rangle$ is Noetherian. It is also a Unique Factorization Domain.

The polynomial I_j is the maximal ideal of the candidate ring.

Proposition 2.3. $F_2^n[x]/\langle x^n - 1 \rangle$ satisfies the descending chain condition on principal ideals.

Proof

Using Example 2.1 and rearranging the ideals from maximal to the least we have:

$$(I_j) \supseteq (I_{j-1}) \supseteq (I_{j-2}) \supseteq \dots \supseteq (I_1)$$

□

By Proposition 2.3 the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ is Artinian.

Proposition 2.4. Let (I_n) be a family of ideals such that $(I_n) \geq (I_m)$ for some fixed $(I_m) \in (I)$, if:

(i) (I_m) is true and (I_m) true means its fixed in (I_n) , false means its varying in (I_n)

(ii) (I_n) is true $\Rightarrow (I_{n+1})$ is true, then (I_n) is true for all $n \geq m$.

Proof

Let $I_c \in F_2^n[x]/\langle x^n - 1 \rangle$ be a family of all principal ideals for which (I_n) is false. If (I_c) is empty there is nothing to prove. Otherwise there is the smallest ideal $(I_k) \subseteq (I_c)$. From (i) $(I_k) > (I_m)$ and so we have some (I_{k-1}) . But $(I_{k-1}) < (I_k)$ implies that $(I_{k-1}) \notin (I_c)$ since (I_k) is the smallest ideal in (I_c) . Hence (I_{k-1}) is true. From (ii) $(I_k) = (I_{[k-1]+1})$ is true and this contradicts $(I_k) \in (I_c)$ which claims that (I_k) is false. □

2.1 Application of Maximum Likelihood Decoding to Codes of the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$

Definition 2.1. [1]

Let C be a linear code over \mathbb{F}_q and u a vector in the code space \mathbb{F}_q^n . The Maximum Likelihood Decoding problem is to find a code $v \in C$ such that:

$$d_c(v, u) = d_c(u, c) = \min\{d_c(u, c)\} \text{ for all } c \in C.$$

On an mSC (p), the probability of receiving v after the transmission of u is given by $P(\frac{v}{u}) = p^{d_c} q^{n-d_c}$, (where d_c is the Hamming Distance between u and v , p is transition parameter such that $p + q = 1$ and n is the length of the code).

Definition 2.2. [2] A Fermat prime is a prime of the form $2^{2^n} + 1$ where n is itself prime. A Mersenne prime is one of the form $2^n - 1$ for some prime n . A safe prime is a prime number of the form $2p + 1$ where p is also prime.

Consider the set of generators of the polynomial ring $F_2^6[x]/\langle x^6 - 1 \rangle$. Here $n = 6$ which is a composite integer. The code generated is given by

$$C = [000000, 000001, 000011, 000101, 001001, 010101, 001001, 011011, 111111].$$

Suppose a codeword 010101 was transmitted on a BSC (0.02) and two codewords, 000001 and 111111 were received. Then we have $P(000001|010101) = q^4 p^2 \approx 0.000368947264$, while $P(111111|010101) = q^3 p^3 \approx 0.000007529536$; it would therefore be efficient to decode 010101 to 000001.

Suppose $n = 7$ which is a safe prime. This would give the polynomial ring $F_2^7[x]/\langle x^7 - 1 \rangle$. The code generated is given by

$$C = [0000000, 0000001, 0000011, 0001011, 0001101, 0011101, 0010111, 1111111].$$

Consider a codeword 0000011 transmitted on a BSC (0.03) and the two codewords, 0001011 and 1111111 are received. We have $P(0001011|0000011) = q^6 p^1 \approx 0.02498916$, while $P(1111111|0000011) = q^2 p^5 \approx 0.00000002286387$; it would be efficient to decode 0000011 to 0001011.

Hence principles of maximum likelihood decoding are applicable to the polynomial ring $F_2^n[x] \text{ mod } (x^n - 1)$ for prime values of n and for composite values of n .

2.2 Application of Minimum Distance Decoding to Codes of the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$

Definition 2.3. [8]

A code vector v is said to have undergone minimum distance decoding if and only if, when v is received, it is decoded to a codeword u that minimizes the Hamming distance $d_c(u, v)$.

Consider the set of generators of the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ in which $n = 5$ which is a safe prime. The code generated is represented by

$$C = [00000, 00011, 00101, 00110, 01100, 01010, 11000, 11111].$$

Suppose we want to decode 01100 to any of the other codewords in C we must compute minimum distance as follows:

$$d_c(01100, 00000) = 2$$

$$d_c(01100, 00011) = 2$$

$$d_c(01100, 00101) = 2$$

$$d_c(01100, 00110) = 2$$

$$d_c(01100, 01010) = 2$$

$$d_c(01100, 11111) = 3$$

Hence it would be more efficient to decode 01100 to any of the codewords in C except to 11111.

Consider the set of codes generated by the polynomial ring $F_2^6[x]/\langle x^6 - 1 \rangle$ in which $n = 6$ which is composite. The code is represented by

$$C = [000000, 000001, 000011, 000101, 010101, 001001, 011011, 111111].$$

Suppose we want to decode 111111 to any of the other codewords in C we must compute minimum distance d_c as follows:

$$d_c(111111, 000000) = 6$$

$$d_c(111111, 000001) = 5$$

$$d_c(111111, 000011) = 4$$

$$d_c(111111, 000101) = 4$$

$$d_c(111111, 010101) = 3$$

$$d_c(111111, 001001) = 4$$

$$d_c(111111, 011011) = 2$$

Therefore it would be more efficient to decode 111111 to 011011.

Hence principles of Minimum Distance Decoding are applicable to the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ for prime values of n as well as for composite values of n .

Proposition 2.5. *Let $p < \frac{1}{2}$ where $p + q = 1$. Then maximum likelihood decoding and minimum distance decoding are equivalent.*

Proof

Let the the probability of receiving v after the transmission of u be given by

$P(\frac{v}{u}) = p^{d_c} q^{n-d_c}$, (where d_c is the Hamming Distance between u and v , p is transition parameter such that $p + q = 1$ and n is the length of the code). Minimizing the quantity $P(\frac{v}{u}) = p^{d_c} q^{n-d_c}$ is equivalent to minimizing d_c .

2.3 Application of Incomplete Minimum Distance Decoding to Codes of the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$

Definition 2.4. [8]

Incomplete Minimum Distance Decoding for a received codeword v , occurs when it is decoded to a codeword u that minimizes the Hamming distance or when decoded to the error detected symbol η .

Consider a set of generators of the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ in which $n = 5$, which is a safe prime. It was observed for instance in Section 2.2 that 01100 could be decoded to any of the codewords in C except to 11111. By Incomplete Minimum Distance Decoding, 01100 could also be decoded to the error detected symbol η . In this case the minimum distance cannot be determined.

Hence principles of Incomplete Minimum Distance Decoding are applicable to the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ for prime values of n as well as for composite values of n .

2.4 Application of Features of an optimal code to codewords of the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$

According to Huffman and Pless [3], an (n, m, d_c) - code is a code of length n containing m words and having minimum distance d_c . Thus for instance, in

the polynomial ring $F_2^7[x]/\langle x^7 - 1 \rangle$, $n = 7, m = 8, d_c = 7$, hence it is a $(7, 8, 7)$ -code, while for the polynomial ring $F_2^{30}[x]/\langle x^{30} - 1 \rangle$, $n = 30, m = 31, d_c = 30$, hence it is a $(30, 31, 30)$ -code. A good code is one with small n for fast transmission of messages, large m to enable transmission of wide variety of messages and large d_c to detect and correct a large number of errors. Generally good codes are those whose value of m and d_c are large relative to values of n .

Define $A_q(n, 1)$ as the maximum m such that (n, m, d_{max}) -code exists. Determining the values of $A_q(n, 1)$ is the main coding problem.

Theorem 2.1. [4] For any set of codewords C of a q -ary of length n over a finite set A the following statements hold:

- (a) $A_q(n, 1) = q^n$
- (b) $A_q(n, n) = q$

Proof

(a) Suppose C is the set of all codewords of length n . Then $C = A^n$. Any two distinct codewords must differ in at least one position. The minimum distance between two such words is at least 1. A q -ary code of length n cannot be bigger than this.

(b) Suppose C is a q -ary code with parameters (n, m, n) . The minimum distance between two such words is n if any two distinct codewords of C differ in all n positions. Therefore the entries in fixed positions of m codewords must be different. This implies that $A_q(n, n) \leq q$

(i)

But the q -ary repetition code has parameters (n, q, n) . This yields

$$A_q(n, n) \geq q \tag{ii}$$

Combining (i) and (ii) we have $A_q(n, n) = q$. □

2.5 Measurement of Efficiency and Reliability of codewords of the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$

Definition 2.5. [9]

Efficiency of a code is a function of its information rate κ . The dimension of a code k is the number of symbols which carry information as opposed to redundancy. Normalized dimension or rate κ of an m -ary code C of length n is the ratio $\frac{k}{n}$ of message symbols to coded symbols. A code is said to be reliable when its minimum distance $d_c \geq 2$.

Table 1: Comparison of Efficiency and reliability of code vectors for the polynomial ring $F_2^6[x]/\langle x^6 - 1 \rangle$

Code vector	δ	$\delta_C = \frac{\delta}{n}$	Reliability %	$\kappa_C = \frac{\kappa}{n}$	Efficiency %
000000	0	0	0	1.000	100
000001	1	0.1667	16.67	0.8333	83.33
000011	2	0.3333	33.33	0.6667	66.67
000101	2	0.3333	33.33	0.6667	66.67
001001	2	0.3333	33.33	0.6667	66.67
010101	3	0.5000	50.00	0.5000	50.00
011011	4	0.6667	66.67	0.3333	33.33
111111	6	1.00	100	0.00	0.00

Table 2: Comparison of Efficiency and reliability of code vectors for the polynomial ring $F_2^7[x]/\langle x^7 - 1 \rangle$

Code vector	δ	$\delta_C = \frac{\delta}{n}$	Reliability %	$\kappa_C = \frac{\kappa}{n}$	Efficiency %
0000000	0	0	0.00	1.0000	100
0000001	1	0.1429	14.29	0.8571	85.71
0000011	2	0.2857	28.57	0.7142	71.42
0001011	3	0.4286	42.86	0.5714	57.14
0001101	3	0.4286	42.86	0.5714	57.14
0011101	4	0.5714	57.14	0.4286	42.86
0010111	4	0.5714	57.14	0.4286	42.86
1111111	7	1.0000	100	0.00	0.00

From Tables 1 and 2, its clear that as efficiency increases the code becomes more unreliable.

According to Shannon [7] we need to evaluate information content and error performance of any given codeword. High rate codewords are desirable since they employ a more efficient use of redundancy than lower rate codewords. Error correcting capabilities must also be considered when choosing a code for a particular application. A rate 1 code has the optimal rate but has no redundancy and hence not suitable for error control. Generally given a q -ary (n, m, d) -code C we define the rate of C to be $\frac{\log_q m}{n}$. We can then deduce that; $\lim_{n \rightarrow \infty} \frac{\log_q m}{n} = 0$

This trend of efficiency and reliability is applicable to the polynomial ring $F_2^n[x]/\langle x^n - 1 \rangle$ for any values of $n \geq 2$ for all $n \in \mathbb{N}$.

ACKNOWLEDGEMENTS I acknowledge the invaluable academic support I have received from my supervisors: Prof. Shem Aywa, Prof. Maurice Owino Oduor and Dr. Okaka Akinyi Colleta. I thank the Government of Kenya

through the National Commission for Science Technology and Innovation for funding this research.

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